

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

SIMULATIONS PLUS INC

Form: 4

Date Filed: 2020-02-04

Corporate Issuer CIK: 1023459

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FORM 4 Check this box if no

longer subject to Section

16. Form 4 or Form 5 obligations may continue.

UNITED STATES SECURITIES AND EXCHA
Washington, D.C. 20549

INITED STATES SECURITIES AND EXCHANGE COMMISSION	ı
Washington, D.C. 20549	

OMB APPROVAL OMB Number: 3235-Estimated average burden hours 0.5 per response...

See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

(Print or Type R	esponses)																
Name and Address of Reporting Person Paglia John Kenneth							i d Ticker or PLUS IN			ol		Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) 42505 10TH STREET WEST				Date of Earliest Transaction (Month/Day/Year) 02/03/2020													
(Street)							ate Original	FiledMo	nth/Day/	Year)		6. Individual or J			able Line)		
LANCASTER, CA 93534													_X_ Form filed by One Form filed by Mor				
(City)		(State)	(Zip)				Table	I - Non-	Deriva	tive	Securi	ities Acqu	ired, Disposed	of, or Benef	icially Owned		
1.Title of Securi	ity		Transaction Date	2A. Deemed Execution Date			3. Transa	action	or Disposed of (D)						,		7. Nature
(Instr. 3)			(Month/Day/Ye			,	(Instr. 8)			(Instr. 3, 4 and			Following Reported Transaction(s) (Instr. 3 and 4)			Ownership Form:	Beneficia
						Day/Yea	r)									Direct (D) or Indirect	Ownersh (Instr. 4)
							Code		Amou		(A) or (D)	Price				(I) (Instr. 4)	
Common Sto	ock (1)		02/03/2020			А		445	,	A	\$ 32.58	2,966			D		
Reminder: Repo	ort on a separ	ate line for each	class of securities be	enefici	ially owi	ned dire	ctly or indir	ectly.									
					, -		,	Pers					llection of infor				1474 (9-0
											quirea ımber.	-	d unless the for	m displays	a currently va	IIIQ	
			Table	II - De	erivativ	e Secu	rities Acqu	ired, Di	spose	d of	, or Be	neficially	Owned				
1. Title of	2.	3. Transaction	3A. Deemed	T -			warrants, umber of	-i	e Exer				I Amount of	8. Price of	9. Number of	10.	11. Natu
Derivative	Conversion	Date	Execution Date, if	Code	е	Deri	vative	and E	xpiratio	on D	ate	Underlying	Securities	rities Derivative	Derivative Securities	Ownership	of Indired
Security (Instr. 3)	or Exercise Price of	(Month/Day/Yea	(Month/Day/Year)		Acqui Dispo		urities uired (A) or	ľ	h/Day/	real	1)	(Instr. 3 and		Security (Instr. 5)	Beneficially	Form of Derivative	Ownersh
	Derivative Security						osed of (D) r. 3, 4, and								Owned Following	Security: Direct (D)	(Instr. 4)
						5)	1						Amount or	_	Reported Transaction(s)	or Indirect	
				Co	ode	V (A	(D)	Date Exerc	isable		iration _.	Title	Number of Shares		(Instr. 4)	(Instr. 4)	
Stock Option (right to buy) (2)	(3)							1	<u>(4)</u>		<u>(5)</u>	Commo Stock	n 22,500		22,500	D	
Reportin	g Owne	ers															
			Relationships		_												
Reporting Own	ner Name / A	er O	ther														
Paglia John Kenneth Director 10% Owner Officer Other																	
42505 10TH LANCASTE	_	_															
Signatur	es																
/s/ John Pag	glia	02/04/20)20														
Signature of Report	ting Person	Date															
Explanat	tion of F	Response	es:														
* If the form is	filed by more	than one reporti	ng person, <i>see</i> Instru	ction 4	4(b)(v).												
			acts constitute Feder						001 and	d 15	U.S.C	. 78ff(a).					
· ·			pensation, issued from pensation, issued														
(3) Evercise price	no rango \$6.7	5 to \$34.23															
(4) The option is thereafter at	s vested over 30%.	a three year perio	od with 40% on the fi	rst an	nniversa	ry of the	date of gra	ant and	continu	uing 1	to vest	as to the 2	2nd and 3rd insta	llment on the	e 2nd and 3rd a	anniversary	date
		m 8/31/2025 to 7															
Note: File three	copies of this	Form, one of whi	ch must be manually	/ sign	ed. If sp	ace is i	nsufficient,	see Inst	ruction	6 fo	r proce	edure.					
Potential person	ns who are to	respond to the co	llection of informatio	n con	tained i	n this fo	rm are not	required	to res	pond	d unles	s the form	displays a currer	itly valid OM	B number.		

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL											
OMB Number:	3235-0287										
Estimated average	ao hurdon houre										

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

0.5 per response..

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type R	lesponses)																
Name and Address of Reporting Person [*] Paglia John Kenneth							Ticker or T			ol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) (First) (Middle) 42505 10TH STREET WEST					ate of Ea 03/202		ansaction (Month/	Day/Ye	ear)		Officer (give titl	le below)		(specify below)		
(Street)						-	te Original I	Fileфмо	nth/Day/	Year)		6. Individual or J _X_Form filed by One			able Line)		
LANCASTE	R, CA 9353	34	(Zip)										Form filed by Mor	e than One Rep	oorting Person		
(City)		(State)				Table I	- Non-	Deriva	tive	Securi	ities Acqu	ired, Disposed (of, or Bene	ficially Owned			
1.Title of Security 2. Transaction Unstr. 3) Date (Month/Day/Ye)			ear) a	2A. Deemed Execution Date, any (Month/Day/Yea		3. Transac Code (Instr. 8)	ction	4. Securities Ad or Disposed of (Instr. 3, 4 and			D)) 5. Amount of Securities Beneficially Own Following Reported Transaction(s) (Instr. 3 and 4)		•	Ownership of Form: Direct (D)	Beneficia	
						.,	Code	V	Amoi	(A) or		Price				(Instr. 4)	
Common Sto	ock (1)		02/03/2020				А		445	P	٩.	\$ 32.58	2,966			D	
Reminder: Rep	ort on a sepa	ate line for each cla	ss of securities be	enefic	cially owr	ned direc	tly or indire	ctly.									
					•		•	form	are no	t req	•	to respon	llection of infor d unless the for				1474 (9-0
			Table				ities Acqui warrants, c		•			-	Owned				
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Ex Security or Exercise (Month/Day/Year) an		3A. Deemed Execution Date, if any (Month/Day/Year)	4. T	ransacti	on 5. Nu Deriv Secu Acqu Dispo	mber of ative	6. Dat	e Exer	e Exercisable spiration Date h/Day/Year)					9. Number of Derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect	Beneficia
				С	Code	V (A)	(D)	Date Exerc	isable		ration .	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	
Stock Option (right to buy) (2)	t <u>(3)</u>							1	<u>'4)</u>	1	<u>(5)</u>	Commo	n 22,500		22,500	D	
Reportin	g Owne	ers															
Reporting Ow	ner Name / A		Relationships														
Paglia John 42505 10TH LANCASTE	Kenneth I STREET	Director 1 WEST X	0% Owner Office	er C	Other												
Signatur																	
/s/ John Pag		02/04/2020 Date	D														
Explanat	tion of I	Responses	:														
	•	than one reporting or omissions of fact			. , . ,	/iolations	. <i>See</i> 18 U.	S.C. 10	001 and	d 15	U.S.C.	. 78ff(a).					
(2) Options gran	nted as indepose ce range \$6.7 s vested over	dent director comperendent director comperendent director comperendent state of the	pensation, issued	from	the 201	7 Equity	Incentive P	lan.	continu	uing t	to vest	as to the 2	and 3rd insta	llment on th	e 2nd and 3rd a	anniversary	date
(5) Expiration d	ates range fro	m 8/31/2025 to 7/19	/2029.														
Note: File three	copies of this	Form, one of which	must be manually	sigr	ned. If sp	ace is in	sufficient, s	ee Inst	ruction	6 foi	r proce	edure.					

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