

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

SONIC FOUNDRY INC

Form: 4

Date Filed: 2019-05-29

Corporate Issuer CIK: 1029744

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person BURISH MARK D		2. Issuer Name and Ticker or Trading Symbol SONIC FOUNDRY INC [SOFO]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)	
(Last) (First) (Middle) HURLEY, BURISH AND STANTON, 33 E. MAIN ST., SUITE 400		3. Date of Earliest Transaction (Month/Day/Year) 05/17/2019			
(Street) MADISON, WI 53703		4. If Amendment, Date Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	
(City) (State) (Zip)		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
9% Cumulative Voting Convertible Preferred Stock, Series A (1)	05/17/2019		C		2,080 (2)	D	\$ 542.13	0 (3)	D	
Common Stock	05/17/2019		C		491,753 (2)	A	\$ 4.23	2,087,628	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BURISH MARK D HURLEY, BURISH AND STANTON 33 E. MAIN ST., SUITE 400 MADISON, WI 53703	X	X		

Signatures

Mark Burish	05/29/2019
Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of 9% Cumulative Voting Convertible Preferred Stock, Series A, are convertible into that number of shares of common stock determined by dividing \$4.23 into the liquidation amount of \$1,000 per share
- (2) Represents 2,080.12 shares of Series A Preferred Stock (\$1,000,000 of Series A Preferred Stock acquired on May 17, 2018 and dividends accrued thereon) converting into 491,753 shares of common stock
- (3) Securities owned include dividends accumulated as of the date of this filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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(Last) (First) (Middle) HURLEY, BURISH AND STANTON, 33 E. MAIN ST., SUITE 400		3. Date of Earliest Transaction (Month/Day/Year) 05/17/2019			
(Street) MADISON, WI 53703		4. If Amendment, Date Original Filed (Month/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	
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			Code	V	Amount	(A) or (D)	Price			
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Common Stock	05/17/2019		C		491,753 (2)	A	\$ 4.23	2,087,628	D	

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				Code	V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BURISH MARK D HURLEY, BURISH AND STANTON 33 E. MAIN ST., SUITE 400 MADISON, WI 53703	X	X		

Signatures

Mark Burish 05/29/2019
Signature of Reporting Person Date

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