

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

Apollo Medical Holdings, Inc.

Form: 4

Date Filed: 2019-08-20

Corporate Issuer CIK: 1083446

© Copyright 2019, Issuer Direct Corporation. All Right Reserved. Distribution of this document is strictly prohibited, subject to the terms of use.

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

	OMB APPROVA	L					
Ī	OMB Number:	3235-0287					
	Estimated average burden hours						
	per response	0.5					

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Res	ponses)																
Name and Address of Reporting Person Kenneth T. (Last) (First) (Middle)				Suer Name and Ticker or Trading Symbol Apollo Medical Holdings, Inc. [AMEH] Date of Earliest Transaction (Month/Day/Year)								Relationship of Reporting Person(s) to Issuer					
1668 S. GARF				08/14/2019								X Officer (give tit	XOfficer (give title below)Other (specify below) Executive Chairman				
(Street) ALHAMBRA, CA 91801			4	4. If Amendment, Date Original FiledMonth/Day/Year) 6. In X.F									6. Individual or Joint/Group Filing(Check Applicable Line) X_Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)				Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)) any	xecution Date, if		(Instr. 8)		(A) or Di	rities Acquired isposed of (D) 4 and 5)		5. Amount of Secu Owned Following F (Instr. 3 and 4)	•		Form: Direct (D)	Beneficial Ownership		
						Cod	de	٧	Amount	(A) or (D)	Price				or Indirect (I) (Instr. 4)	(Instr. 4)	
Common Stoc	k		08/14/2019			Р			2,000	Α	\$ 16.23	1,659,678			I	Held by Kenneth T. Sim Pension Plan Trust dated 12/18/2007	
Common Stoc	k		08/15/2019			Р			1,500	Α	\$ 15.25	1,661,178			I	Held by Kenneth T. Sim Pension Plan Trust dated 12/18/2007	
Common Stoc	k		08/16/2019			Р			1,500	Α	\$ 16.25	1,662,678			I	Held by Kenneth T. Sim Pension Plan Trust dated 12/18/2007	
Common Stoc	k		08/16/2019			Р			437	Α	\$ 16.35	1,663,115			I	Held by Kenneth T. Sim Pension Plan Trust dated 12/18/2007	
Common Stoc	k		08/16/2019			Р			563	Α	\$ 16.35	1,663,678			I	Held by Kenneth T. Sim Pension Plan Trust dated 12/18/2007	
Reminder: Report	on a separate	e line for each clas	s of securities ben	eficially owne	d dire	ectly or in	F	ers				e collection of infor				EC 1474 (9-02)	
			Table II	- Derivative			cquire	MB d, Di	control	numbe	r. Seneficia		m displays	a currently	valid		
1. Title of	2.	3. Transaction	3A. Deemed	(e.g., puts,				_	s, conve			Title and Amount of	8. Price of	9. Number of	of 10.	11. Nature	
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	rsion Date Execution Date of (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year)		(Instr. 8) SA		Derivative Securities Acquired (A) o Disposed of (E Instr. 3, 4, and		aı (N	and Expiration Date Ur			derlying Securities str. 3 and 4)	Derivative Deriv Security Secu (Instr. 5) Bene Own Follo	Derivative Securities Beneficially Owned Following	Owners Form of Derivati Security Direct (hip of Indirect Beneficial ve Ownership (Instr. 4)	
					.,	, ·			ate xercisah	Expira le Date	ation Titl	e Amount or Number of Shares		Reported Transaction	or Indire		

Reporting Owners

Reporting Owner Name / Address		Relationships								
neporting Owner Name / Address	Director	10% Owner	Officer	Other						
Sim Kenneth T. 1668 S. GARFIELD AVE. 2ND FL. ALHAMBRA, CA 91801	Х		Executive Chairman							

Signatures

/s/ by Omar Dabuni as attorney-in-fact for Kenneth Sim, M.D.	08/19/2019
-Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned directly by the Kenneth T. Sim Pension Plan Trust dated 12/18/2007. Reporting Person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein, and the filing of this report is not an admission that Reporting Person is the beneficial owner of these shares for the purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVA	٩L
OMB Number:	3235-0287
Estimated average burden	hours
per response	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Re	sponses)														
Name and Address of Reporting Person Sim Kenneth T. (Last) (First) (Middle)				2. Issuer Nam Apollo Medio	cal I	Holdings,	lnc. [AMEH]		Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) 1668 S. GAR				. Date of Earli 08/14/2019	est T	ransaction (Month	/Day/Yea	ar)	X_ Officer (give title below) Other (specify below) Executive Chairman					
		Street)		. If Amendmer	nt, Da	ate Original I	-iled _M	Ionth/Day/Ye	ear)		6. Individual or J	oint/Group F	Filing(Check Ap	plicable Line)	
ALHAMBRA, CA 91801					,	g		,	,		_X_ Form filed by One Form filed by Mor	e Reporting Pers	son	,	
(City) (State) (Zip)						Table I	- Non	-Derivati	ve Secu	rities A	cquired, Disposed	of, or Benef	ficially Own	ed	
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)	any	xecution Date, if	(Instr. 8)	ion	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			Form:	7. Nature of Indirect Beneficial Ownership	
						Code	V	Amount	(A) or Amount (D) Pric					or Indirect (I) (Instr. 4)	,
Common Sto	ck		08/14/2019			Р		2,000	А	\$ 16.23	1,659,678			I	Held by Kenneth T. Sim Pension Plan Trust dated 12/18/2007
Common Sto	ck		08/15/2019			Р		1,500	Α	\$ 15.25	1,661,178			I	Held by Kenneth T. Sim Pension Plan Trust dated 12/18/2007
Common Sto	ck		08/16/2019			Р		1,500	А	\$ 16.25	1,662,678			I	Held by Kenneth T. Sim Pension Plan Trust dated 12/18/2007 (1)
Common Sto	ck		08/16/2019			Р		437	Α	\$ 16.35	1,663,115			ı	Held by Kenneth T. Sim Pension Plan Trust dated 12/18/2007
Common Sto	ck		08/16/2019			Р		563	А	\$ 16.35	1,663,678			I	Held by Kenneth T. Sim Pension Plan Trust dated 12/18/2007
Reminder: Repo	rt on a separat	e line for each clas	s of securities bene	eficially owned	d dire	ectly or indire	Pers form	n are not	require	d to resp	collection of infor				EC 1474 (9-02)
			Table II	- Derivative S		•	red, C	•	of, or B	eneficia	•				
1. Title of	2.	3. Transaction	3A. Deemed	4. Transactio	n 5.	Number of	6	. Date Ex	ercisabl	e 7. T	itle and Amount of		9. Number		11. Nature
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	(Instr. 8)		erivative ecurities cquired (A) c isposed of (I nstr. 3, 4, an	or O)	· ·			derlying Securities tr. 3 and 4)	lying Securities Derivative Deri		Form of Derivati Security Direct (ve Ownership v: (Instr. 4) D)
								Oate xercisab	Expira le Date	ation Title	Amount or Number of Shares		Reported Transaction	or Indire	ect .

Reporting Owners

Departing Owner Name / Address		Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other						
Sim Kenneth T. 1668 S. GARFIELD AVE. 2ND FL. ALHAMBRA, CA 91801	Х		Executive Chairman							

Signatures

/s/ by Omar Dabuni as attorney-in-fact for Kenneth Sim, M.D.	08/19/2019
-Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned directly by the Kenneth T. Sim Pension Plan Trust dated 12/18/2007. Reporting Person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein, and the filing of this report is not an admission that Reporting Person is the beneficial owner of these shares for the purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.