

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

Apollo Endosurgery, Inc.

Form: 4

Date Filed: 2020-06-17

Corporate Issuer CIK: 1251769

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL						
OMB Number:	3235-0287					
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per response	0.5					

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Res	sponses)															
Name and Address of Reporting Person— Crawford Matthew S					Issuer Name a					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
3600 N CAPIT		First) XAS HWY, SU	(Middle) JITE B180		Date of Earliest /26/2020	Transactio	n (Mon	nth/Day/Year)		X_ Director10% OwnerOfficer (give title below)Other (specify below)						
(Street) AUSTIN, TX 78746					f Amendment, I	Date Origin	al Filed	(Month/Day/Year)		6. Individual or Jo _X_ Form filed by One Form filed by More	Reporting Pers	ion	olicable Line)		
(City) (State) (Zip)					Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)			2. Transactio Date (Month/Day/Y	Exe ear) any	Deemed ecution Date, if / onth/Day/Year)	(Instr. 8)		4. Securities or Disposed (Instr. 3, 4 ar	` '		5. Amount of Securit Owned Following Re Transaction(s) (Instr. 3 and 4)	•		nership I m:	Beneficia Ownershi	ı
Common Stoo	ck		05/26/2020)		<u>(1)</u>		1,341,222	D	<u>(1)</u>	857,964		I	9	By PTV Science P. ⁽²⁾	es II,
Common Stoo	ck		05/26/2020)		<u>J⁽¹⁾</u>		245,444	А	<u>(1)</u>	245,444		I	- \ I	By Pinto Fechno √enture I, L.P.	logy es GP (3) (4)
Common Stoo	ck		05/26/2020)		<u> ქ(5)</u>		245,444	D	<u>(5)</u>	0		I	,	By Pinto Fechno Jenture I, L.P.	logy es GP
Common Stoo	ck		05/26/2020)		<u>ე(5)</u>		74,218	Α	<u>(5)</u>	127,933		D			
Common Stoo	ck										1,347,565		ı		By PTV P. <mark></mark>	
Common Stoc	ck										605,712		ı	9	By PTV Special Opportu , L.P.	unities
Common Stoo	ck										363,500		I	 	By ∟ongch nterest <u>8)</u>	
Reminder: Report	t on a separate	e line for each cla	ass of securities	s benefi	cially owned di	rectly or inc	directly.									
	·				·		Pe for	ersons who re	quired t		e collection of inform pond unless the form				SEC 1474	4 (9-02)
			Ta		Derivative Sec		•	•								
1. Title of Derivative Conversion Date (Month/Day/Yea (Instr. 3) Price of Derivative Security		3A. Deeme Execution [r) any (Month/Day	d 4 Date, if C	1. Transaction 5. Numl		of A) or of (D)	6. Date Exer and Expiratio (Month/Day/	Expiration Date Unde		Title and Amount of derlying Securities	Derivative Security (Instr. 5)	9. Number o Derivative Securities Beneficially Owned Following	Owner Form of Derivation Securi Direct	rship of li of Ber tive Ow ty: (Ins	Nature ndirect neficial mership str. 4)	
					Code V	(A)	(D)	Date Exercisable	Expiration Date	on Titl	e Amount or Number of Shares		Reported Transaction((Instr. 4)	or Indi s) (I) (Instr.		
Reporting	Owner	S														
_		N / 5 1 1			Relationsh	ips										
Repo	orting Owner	Name / Address		Director	10% Owner	Officer C	ther									

Domestina Orman Nama / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Crawford Matthew S								
3600 N CAPITAL OF TEXAS HWY, SUITE B180	Х							
AUSTIN, TX 78746								

Signatures

/s/ Matthew S. Crawford	06/17/2020
-Signature of Reporting Person	Date

- * $\,\,$ If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents in-kind distribution by PTV Sciences II, L.P. to its partners (including Pinto Technology Ventures GP II, L.P.) without consideration.
- (2) These securities are owned by PTV Sciences II, L.P. ("PTV II").
- Pinto TV GP Company LLC ("PTV GPC") is the sole general partner of Pinto Technology Ventures GP II, L.P. ("PTV GP II"), which is the sole general partner of PTV II. Matthew S. Crawford is the (3) sole manager of PTV GPC. Accordingly, PTV GP II, PTV GPC and Mr. Crawford may be deemed to have voting and investment control over the shares owned by PTV II and PTV GP II. Mr. Crawford disclaims beneficial ownership of such shares except to the extent of its pecuniary interest therein.
- (4) These securities are owned by PTV GP II.
- (5) Represents in-kind distribution by PTV GP II to its partners without consideration.
- These securities are owned by PTV IV, L.P. ("PTV IV"). PTV GP III Management, LLC ("PTV GPM") is the sole general partner of PTV GP IV, L.P. ("PTV GP IV"), which is the sole general partner of (6) PTV IV. Matthew S. Crawford is the sole manager of PTV GPM. PTV GP IV, PTV GPM and Mr. Crawford may be deemed to have voting and investment control over the shares owned by PTV IV.
- These securities are owned by PTV Special Opportunities I, L.P. ("PTV SO"). PTV GP III Management, LLC ("PTV GPM") is the sole general partner of PTV GP SO I, L.P. ("PTV GP SO"), which is (7) the sole general partner of PTV SO. Matthew S. Crawford is the sole manager of PTV GPM. PTV GP SO, PTV GPM and Mr. Crawford may be deemed to have voting and investment control over the shares owned by PTV SO. The Reporting Person disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.
- These securities are owned by Longchamp Interests LLC ("Longchamp"). Matthew S. Crawford acts as the sole managing member of Longchamp and is deemed to possess the power to vote and dispose of the shares held by Longchamp.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

The Reporting Person disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.

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(Print or Type Re	sponses)																				
Name and Address of Reporting Person Crawford Matthew S				,	2. Issuer Name					Relationship of Reporting Person(s) to Issuer (Check all applicable)											
(Last)		(First)	(Middle)	-	Apollo Endosu 3. Date of Earlies						X Director Officer (give title below)			0% Owner Other (speci	fy below)						
3600 N CAPI	TAL OF TE	XAS HWY, SU	JITE B180		05/26/2020																
(Street) AUSTIN, TX 78746				4	I. If Amendment,	Date Ori	ginal F	Filed	Month/Day/Year)		6. Individual or Journal of Journ	Reporting Pers	son	Applicable L	ine)						
(City)		State)	(Zip)			Та	ble I -	- No	n-Derivative	Securit	ies A	cquired, Disposed o	of, or Benef	icially Ow	ned						
1.Title of Security (Instr. 3)	ecurity		2. Transaction Date (Month/Day/Y	ear) a	Execution Date, if Co		3. Transaction Code (Instr. 8)		4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)		d (A)	5. Amount of Securii Owned Following Re Transaction(s) (Instr. 3 and 4)	•		Ownershi orm: Oirect (D) r Indirec	p Indire Benet Owne	ficial ership				
						Cod	е	٧	Amount	(A) or (D)	Price			(nstr. 4)						
Common Sto	ck		05/26/2020)		<u>(1</u>	<u>)</u>		1,341,222	D	<u>(1)</u>	857,964		ı	l S		PTV nces II, (2) (3)				
Common Sto	ck		05/26/2020)		<u>J(1</u>	Ĺ		245,444	A	<u>(1)</u>	245,444		245,444		245,444		I		Vent	nnology tures GP P. (3) (4)
Common Sto	ck		05/26/2020)		<u>ე(5</u>	<u>)</u>		245,444	D	<u>(5)</u>	0		ı		By Pinto Technolo Ventures II, L.P. [©]		nnology ures GP			
Common Sto	ck		05/26/2020)		<u>ე(5</u>	<u>)</u>		74,218	Α	<u>(5)</u>	127,933)						
Common Sto	ck											1,347,565		I		By PTV IV, L.P. ⁽⁶⁾					
Common Sto	ck											605,712		I							
Common Sto	ck											363,500			363,500		I		_	gchamp ests LLC	
Reminder: Repor	rt on a separat	e line for each cla	ass of securitie	s ben	eficially owned d	irectly or	indire	ctlv.													
					,	,		Per for		quired t		e collection of inform pond unless the for				SEC 1	1474 (9-02)				
			Та	ble II	- Derivative Sec				•												
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yea	3A. Deemed Execution D r) any (Month/Day)	ate, it	f Code (Instr. 8)	5. Numb Derivativ Securitie Acquired Dispose (Instr. 3,	er of re es I (A) o	or O)	ons, converti 6. Date Exerc and Expiratio (Month/Day/\)	cisable in Date	7. T	7. Title and Amount of Underlying Securities (Instr. 3 and 4) 8. Price of 9. N Derivative Der Security (Instr. 5) 9. Months of Ber Ow		9. Number Derivative Securities Beneficiall Owned Following	Own Form y Der Sec	m of	11. Nature of Indirect Beneficial Ownership (Instr. 4)				
					Code V	(A)	(D		Date I Exercisable I	Expiration Date	on Titl	e Amount or Number of Shares		Reported Transactio (Instr. 4)	or li n(s) (l)	ndirect tr. 4)					
Reportino	g Owner	'S																			
Par	ortina Owner	Name / Address			Relations	hips															
nep	orting Owner	Traine / Address	,																		

Demonstration Occurrent Names / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Crawford Matthew S 3600 N CAPITAL OF TEXAS HWY, SUITE B180 AUSTIN, TX 78746	Х						

Signatures

/s/ Matthew S. Crawford	06/17/2020
Signature of Reporting Person	Date

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