

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

Ecoark Holdings, Inc.

Form: 4

Date Filed: 2019-05-03

Corporate Issuer CIK: 1437491

© Copyright 2019, Issuer Direct Corporation. All Right Reserved. Distribution of this document is strictly prohibited, subject to the terms of use.

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVA	L							
OMB Number:	3235-0287							
Estimated average burden hours								
per response	0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type	Responses)																
Name and Address of Reporting Person Green Michael John					suer Name a ark Holdin			-	ymbo	ool			5. Relationship o		ck all applicable		
(Last) (First) (Middle) 1010 NW J STREET, SUITE I					te of Earliest 9/2019	Transa	ction (M	lonth/Da	y/Ye	ear)			Officer (give titl	e below)	Other	(specify below)	
					mendment,	Date Or	riginal Fi	iled Month/	'Day/Y	Year)			6. Individual or Journal of Journ	Reporting Per	son	cable Line)	
BENTON\ (City)		72712 (State)	(Zip)														
		(State)	T	104	<u> </u>								ired, Disposed o				I
1.Title of Security (Instr. 3)		2. Transacti Date (Month/Day	Ex Year) an	2A. Deemed Execution Date, if any (Month/Day/Year)		ransacti de tr. 8)	(4	4. Securities Acqu (A) or Disposed of (Instr. 3, 4 and 5)		ed of	(D)	5. Amount of Securities Beneficially Owner Following Reported Transaction(s) (Instr. 3 and 4)		•	Ownershi Form:	Beneficia	
						(IVI	Code		(A) or Amount (D)		Price				Direct (D) or Indirect (I) (Instr. 4)	Ownersh (Instr. 4)	
Dominder D		marata lina far anal	a along of an austine	banafiaia	llu aumad di				1110	ount ((D)	Trice				(111501.4)	<u> </u>
neminaer. N	eport on a se	parate line for each	n class of securities	Deriencia	any owned di	rectly of	1	Persons form are	e no		ed to		llection of inform d unless the for				1474 (9-0
			Tab		rivative Sec		•					-	Owned				
1. Title of	2.	3. Transaction		4.	5. Num			e Exerci			secur		and Amount of	8. Price of	9. Number of	10.	11. Natu
Derivative Conversion Date Exe Security or Exercise (Month/Day/Year) any		,	Transacti Code (Instr. 8)	Derivat Securit Acquire Disposi (Instr. 3	es d (A) or ed of (D	(Month	ttion Dat h/Day/Ye				ying Securities 3 and 4)		Derivative Securities Beneficially Owned Following Reported	Ownership Form of Derivative Security: Direct (D) or Indirect	Beneficia		
				Code	V (A)	(D)		Exercisal	വച	e Expiration Date		Title	Amount or Number of Shares		Transaction(s (Instr. 4)	(I) (Instr. 4)	
Non- qualified Stock Option (right to buy)	\$ 0.73	04/29/2019 ⁽¹⁾		А	37,17	70	04/29	9/2019	<u>(1)</u> (03/31/2	2024	Comr Sto	137.170	\$ 0	37,170	D	
Reporti	ing Ow	ners															
Reporting (Owner Name	e / Address	Relationship	1	ther												
Green Mic 1010 NW & BENTON	J STREET																
Signatu	ıres				_												
	phant, atto	orney-in-fact	05/03/20 Date	19													
Explan	ation o	f Respons	es:														
** Intentiona	l misstateme	nts or omissions of	ting person,see Ins facts constitute Fe ed effective March	deral Crin	ninal Violatio	ns. See	e 18 U.S Issuer's	s.C. 1001 fiscal qu	l and	d 15 U.S er end, bi	S.C. 78 ut issu	8ff(a). ued on <i>I</i>	April 29, 2019, pu	rsuant to th	e Issuer's 2017	' Omnibus Ir	ncentive
Note: File thre	ee copies of t	his Form, one of w	hich must be manu	ally signe	d. If space is	insuffic	cient, se	e Instruc	tion	6 for pro	ocedu	ıre.					
Potential pers	sons who are	to respond to the o	collection of informa	tion conta	ained in this	form are	e not rec	quired to	resp	pond unl	less th	he form	displays a curren	tly valid OM	IB number.		

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVA	L
OMB Number:	3235-0287
Estimated average burden	hours
per response	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Typ	e Responses)																				
Name and Address of Reporting Person				2. Is	sue	r Name and	l Tick	er or Tra	ding Sy	mbol		5.	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)								
Green Michael John				Ecoa	Ecoark Holdings, Inc. [ZEST] (Check all applicable)																
(Last) (First) (Middle) 1010 NW J STREET, SUITE I						Earliest Tra	ansac	ction (Mo	nth/Day	/Year)		F	Officer (give tit	e below)	Other	(specify below)					
(Street)					04/29/2019 4. If Amendment, Date Original FiledMonth/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line)																
		(Sileet)		4. If A	mer	nament, Dat	e Ori	ginai File	eq Month/E	ay/Year)			Form filed by One	e Reporting Per	son	able Line)					
BENTON	VILLE, AR	72712											Form filed by Mor	e than One Rep	porting Person						
(City	/)	(State)	(Zip)				Ta	able I - N	lon-Deri	ivative S	Securitie	es Acquire	d, Disposed (of, or Bene	ficially Owned						
1.Title of Se	curity		2. Transacti					ansaction 4. Securities Acquired					5. Amount of Securities Beneficially Owned				7. Nature				
(Instr. 3) Date (Month/Day/Ye			Execution Date, if		if Code (Instr. 8)		(A) or Disposed of (D) (Instr. 3, 4 and 5)			` '	Following Reported Transaction(s)				of Indirect Beneficial						
			(WOTH // Day		-	/Day/Year)			("	1511. 5, 4	and 5)	(11)	nstr. 3 and 4)				Ownership				
																or Indirect	(Instr. 4)				
								Code	V A	mount	(A) or (D)	Price				(I) (Instr. 4)					
											(-)	1				()	<u> </u>				
Reminder: F	Report on a se	parate line for eac	n class of securities	s beneficia	ally c	wned direc	tly or	indirectly	y.												
											•				tained in this		1474 (9-02)				
										not req ntrol nu		respond	iniess the for	iii dispiays	a currently va	IIIG					
			Tal			tive Securi uts, calls, v							/ned								
1. Title of	2.	3. Transaction	3A. Deemed	4.	,, F	5. Number				able and			d Amount of	8. Price of	9. Number of	10.	11. Nature				
Derivative	Conversion		Execution Date, if		ode Securities		rities (Moi		·				Underlying Securities		Derivative		of Indirect				
Security (Instr. 3)	or Exercise Price of	, ,	any (Month/Day/Year)	Code (Instr. 8)								(Instr. 3 a	nd 4)	Security (Instr. 5)	Securities Beneficially	Form of Derivative	Beneficial Ownership				
(Derivative		(months buy rour)	(Disposed of								(Owned	Security:	(Instr. 4)				
	Security					(Instr. 3, 4,	, and								Following	Direct (D)					
					Т	٥)							Amount or	4	Reported Transaction(s	or Indirect					
								Date Ex	cercisab	le Expira	Expiration	Title	Number of		(Instr. 4)	(Instr. 4)					
				Code	٧	(A)	(D)			Date			Shares								
Non-																					
qualified																					
Stock	\$ 0.73	04/29/2019 ⁽¹⁾		Α		37,170		04/29/	/2019 <mark>(</mark>	03/3	1/2024	Commo	1 37.170	\$0	37,170	D					
Option (right to												Slock									
buy)																					
					!	<u>. </u>	!	J.							<u>. </u>		<u>. </u>				
Report	ing Ow	ners																			
						_															
Reporting	Owner Name	Address	Relationship	os																	
Tieporting	Omner Hanne	Direc	tor 10% Owner 0	Officer O	ther																
	chael John																				
	J STREET	*																			
PENION	VILLE. AR	12112																			

Signatures

/s/ Jay Oliphant, attorney-in-fact	05/03/2019
-Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This award of the stock options was earned effective March 31, 2019, the last date of the Issuer's fiscal quarter end, but issued on April 29, 2019, pursuant to the Issuer's 2017 Omnibus Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.