

# **SECURITIES & EXCHANGE COMMISSION EDGAR FILING**

# **Ecoark Holdings, Inc.**

Form: 4

Date Filed: 2020-10-07

Corporate Issuer CIK: 1437491

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

	OMB APPROVA	L	
Ì	OMB Number:	3235-0	0287
	Estimated average burden	hours	
	per response		0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type	Responses)																	
Name and Address of Reporting Person     Cahill John P.								r or Trading Syr	nbol	5.	Relationship o		(Check all applicable)  10% Owner  Other (specify below)  roup Filing(Check Applicable Line) Ing Person Inne Reporting Person  Beneficially Owned  Beneficially Owned Insaction(s)  Beneficially Owned  Ownership Oirect (D) Or Indirect (I) (Instr. 4)  In contained in this plays a currently valid  Derivative Derivative Securities Tother (specify below)  7. Nature Ownership Owne					
				-		loldings, I		• •					10% C	Owner				
(Last) (First) (Middle) 5899 PRESTON ROAD #505				3. Date 09/30			ısacti	ion (Month/Day/	Year)	_	Officer (give titl	le below)	Other	(specify below)				
(Street)					4. If An	nend	dment, Date	Origi	inal FiledMonth/Da	ay/Year)					able Line)			
FRISCO, TX 75034												Form filed by Mor	e than One Rep	porting Person				
(City)	)	(State)		(Zip)				Tab	ole I - Non-Deri	vative Securiti	es Acquire	d, Disposed o	of, or Bene	ficially Owned				
1.Title of Security (Instr. 3)  Date (Month/Day/Yea			Exe Year) any	cuti	on Date, if C	Code Instr.	8) (A)	Securities Acquor Disposed of Str. 3, 4 and 5)  (A) or mount (D)	f (D) Fol	Amount of Sec lowing Report str. 3 and 4)		ow) Other (specify below)  //Group Filing(Check Applicable Line) //Gro		of Indired Beneficia Ownersh				
Reminder: Re	eport on a sep	parate line	for each cla	ass of securities	e II - Deri	vati	ve Securitie	es Ad	Persons form are	not required to trol number. sed of, or Ben	o respond o	unless the for				1474 (9-0		
Derivative Conversion Date Security or Exercise (Month/Day/Year)		ey/Year) ar	xecution Date, if	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exerci Expiration Dat (Month/Day/Ye	е	7. Title and Amount of Underlying Securities (Instr. 3 and 4)  Amount or		Derivative Security (Instr. 5)	Derivative Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form of Derivative Security: Direct (D) or Indirect (I)	of Indired Beneficia			
					Code	٧	(A)	(D)	Exercisable	Date	Title Number of Shares			(11301. 4)	(111511.4)			
Non- qualified Stock Option (right to buy)	\$ 2.10	09/30/	2020		A		16,099		09/30/2020	09/30/2030	Common		\$0	16,099	D			
Reporti	ing Owı	ners																
Reporting O Cahill John 5899 PRE FRISCO, 1	STON ROA		Director	Relationships 10% Owner Off	ficer Other	ər												
Signatu	ıres																	
	JCHIR, AT		'-IN-FAC	OT 10	0/07/202 Date	0												

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

	OMB APPROVA	L								
	OMB Number: 3235-0 Estimated average burden hours									
	per response	0.5								

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type	Responses)																					
Name and Address of Reporting Person—     Cahill John P.						Name <b>and</b> loldings,				mbol		5			ck all applicable	·)						
(Last) (First) (Middle) 5899 PRESTON ROAD #505					e of E	Earliest Tra 20	ansacti	on (Mo	nth/Day	/Year)		_	X Director Officer (give tit	le below)								
(Street)						lment, Dat	e Origi	inal File	ed[Month/D	ay/Year)			C_Form filed by On	e Reporting Per	rson	ing(Check Applicable Line)  ing Person  ially Owned cially Owned cially Owned cially Owned (Instr. 4)  ined in this currently valid  Indirect interior of lerivative securities length or Indirect interior of lowership of lowership interior of lowership interior owned interior ownership interior ow						
FRISCO,	TX 75034											_	_ Form filed by Mor	re than One Rep	porting Person							
(City)	)	(State)	(Zip)				Tab	le I - N	lon-Deri	vative Se	curiti	es Acquire	ed, Disposed	of, or Bene	ficially Owned							
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Ye			Exe Year) any	Execution Date, if ear) any		(Instr. 8)		(A	Securities ) or Disposistr. 3, 4 a	sed of	f(D) Fo	5. Amount of Securities Beneficially Owner Following Reported Transaction(s) (Instr. 3 and 4)			Ownership Form:	7. Nature of Indirect Beneficial						
				(Month/Day/Year)		Co	ode	V A	mount (	(A) or (D)	Price				or Indirect							
Daminday D		navata lina fav anal	class of securities	hanafiaia	ر م	unned diverse	the an in	الم مناد														
				le II - Dei	rivati		ties Ac	P fo C	ersons orm are OMB cor	not requi itrol numl	ired to ber. r Bene	respond					1474 (9-02					
1. Title of	2.	3. Transaction	3A. Deemed	4.	<i>J.</i> , pu	5. Numbe				isable and			d Americat of	O Dring of	O Number of	10	11 Notus					
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, if	Transact Code	tion	Derivative Securities Acquired Disposed (Instr. 3, 4	(A) or of (D)	Expira	e Exerci ation Dat h/Day/Y	te		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			Derivative Securities Beneficially Owned Following Reported	Ownership of Form of Be Derivative Ov Security: Direct (D)	of Indirect Beneficial Ownershi					
				Code	V	(A)	(D)	Date Exerc	isable	Expiratio Date	n	Title	Amount or Number of Shares		Transaction(s (Instr. 4)	, , ,						
Non- qualified Stock Option (right to buy)	\$ 2.10	09/30/2020		А		16,099		09/3	0/2020	09/30/2	2030	Commo Stock	16.099	\$0	16,099	D						
Reporti	ing Owi	ners																				
Reporting C	Owner Name	/ Address	Relationships or 10% Owner Of	ficer Oth	ner																	
Cahill Joh 5899 PRE FRISCO,	STON RO	AD #505 X																				

### **Signatures**

/s/ JAY PUCHIR, ATTORNEY-IN-FACT	10/07/2020
-Signature of Reporting Person	Date

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