

# **SECURITIES & EXCHANGE COMMISSION EDGAR FILING**

# **BIOVIE INC.**

Form: 4

Date Filed: 2020-10-14

Corporate Issuer CIK: 1580149

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVA              | AL        |
|--------------------------|-----------|
| OMB Number:              | 3235-0287 |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type F                                    | Responses)   |  |   |  |            |  |                                   |   |  |  |   |  |  |                                  |   |  |
|---|--|--|---|--|------------|--|-----------------------------------|---|--|--|---|--|--|----------------------------------|---|--|
| 1. Name and A<br>GORLIN ST                          | Address of Repo  | rting Person <sup>*</sup>                                    |   | Issuer Name <b>and</b> Ticker or Trading Symbol     BIOVIE INC. [BIVI]           |            |  |                                   |   |  |  |   | Relationship of Reporting Person(s) to Issuer     (Check all applicable)   |  |                                  |   |  |
| 150 GULF S  |  | Date of Earliest Transaction (Month/Day/Year)     09/22/2020 |   |  |            |  |                                   |   | Officer (give tit                                | le below)                                    |   | (specify below)  |  |                                  |   |  |
| (Street)  |  |  |   | 4. If Amendment, Date Original FiledMonth/Day/Year)                              |            |  |                                   |   |  |  |   | Individual or Joint/Group Filing(Check Applicable Line)    Form filed by One Reporting Person     Form filed by More than One Reporting Person |  |                                  |   |  |
| DESTIN, FL  |  | (State)  | (Zip)   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |            |  |                                   |   |  |  |   |  |  |                                  |   |  |
|   |  | (  |   | 2A. Dee  | d          |  |                                   |   |  |  | •   |  |  |                                  | •   | 7 N-4  |
| 1.Title of Security<br>(Instr. 3)                   |  | 2. Transaction Date (Month/Day/Year                          | Execution (   | n Date   | (Instr. 8) |  | n                                 | 4. Securities Acquir<br>(A) or Disposed of (<br>(Instr. 3, 4 and 5) |  |  | 5. Amount of Securities Beneficially (<br>Following Reported Transaction(s)<br>(Instr. 3 and 4) |  | ,  | Ownership<br>Form:               | 7. Nature<br>ip of Indired<br>Beneficia               |  |
|   |  |  |   | (Month/Day/Year  |            |  | Code                              |   | Amount   | (A) or (D)                                   | Price   |  |  |                                  | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4)        | Ownershi<br>(Instr. 4)   |
| Class A con<br>\$0.0001                             | nmon stock,  | par value  | 09/22/2020  |  |            |  | Р                                 |   | 15,000   | Α  | \$ 10   | 15,000   |  |                                  | D   |  |
|   |  |  |   | ` ' ' ' ' '  | s, calls   | , warra  | fo<br>O<br>Acquired<br>ints, opti | MB<br>, Dis   | are not recontrol nu<br>sposed of<br>, converti  | quired to<br>umber.<br>, or Bene<br>ble secu | respo<br>eficially<br>rities)   |  | m displays                               | s a currently va                 | alid  | 1474 (9-02   |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | onversion Date Exercise (Month/Day/Year) ice of erivative    | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | (Instr. 8) Se<br>Ac<br>Di  |            | Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                                   | an<br>(M  | 6. Date Exercise and Expiration I (Month/Day/Yea |  | Unde  |  |  |                                  | 10. Ownership Form of Derivative Security: Direct (D) | 11. Natur<br>of Indirec<br>Beneficia<br>Ownershi<br>(Instr. 4) |
|   |  |  |   | Code   | v          | (A)  | (D)                               | Da<br>Ex  | te<br>ercisable                                  | Expiration<br>Date                           |   | Amount or<br>Number of Shares  | Reported<br>Transaction(s)<br>(Instr. 4) | or Indirect<br>(I)<br>(Instr. 4) |   |  |
| Reportir  | ng Owne  | rs   |   |  |            |  |                                   |   |  |  |   |  |  |                                  |   |  |
| Reporting Ow  | ner Name / Add   | dress  | relationships % Owner Officer                               | Other  |            |  |                                   |   |  |  |   |  |  |                                  |   |  |
| GORLIN ST<br>150 GULF S<br>UNIT 601<br>DESTIN, FL   | SHORE DR   | X  | S S MICH SHIELD   |  |            |  |                                   |   |  |  |   |  |  |                                  |   |  |

## **Signatures**

| /s/ Steve Gorlin               | 10/14/2020 |
|--------------------------------|------------|
| -Signature of Reporting Person | Date       |

## **Explanation of Responses:**

- $^{\star}\,$  If the form is filed by more than one reporting person,see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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| (Print or Type Resp  | oonses)   |   |  |  |  |              |                    |   |                |                              |   |  |                 |                                    |  |             |
|--|---|---|--|--|--|--------------|--------------------|---|----------------|------------------------------|---|--|-----------------|------------------------------------|--|-------------|
| 1. Name and Addre  | Issuer Name and Ticker or Trading Symbol     BIOVIE INC. [BIVI] |   |  |  |  |              |                    | Relationship of Reporting Person(s) to Issuer                                 |                |                              |   |  |                 |                                    |  |             |
| 150 GULF SHO   |   | (First)<br>JNIT 601                                       |  | 3. Date of Earliest Transaction (Month/Day/Year)<br>09/22/2020 |  |              |                    |   |                | Officer (give titl           | e below)  |  | (specify below) |                                    |  |             |
| DESTIN, FL 32  | ·   | Street)   | 4  | 4. If Amendment, Date Original Filed                           |  |              |                    |   |                |                              |   | Individual or Joint/Group Filing(Check Applicable Line)     X_ Form filed by One Reporting Person     Form filed by More than One Reporting Person |                 |                                    |  |             |
| (City)   |   | (State)   | (Zip)  |  |  | Tabl         | e I - No           | n-D   | erivative s    | Securitie                    | s Acq   | I<br>uired, Disposed of, or Beneficially Owned   |                 |                                    |  |             |
| 1.Title of Security<br>(Instr. 3)                                      |   | 2. Transaction<br>Date<br>(Month/Day/Yea                  | Execution Date, i                                    |  | (Instr. 8)   |              |                    | 4. Securities Acqui (A) or Disposed of (Instr. 3, 4 and 5)  (A) or Amount (D) |                |                              | Following Report<br>(Instr. 3 and 4)                      | curities Beneficially Owned<br>ted Transaction(s)  |                 | Ownership<br>Form:                 | Beneficial<br>Ownership  |             |
| Class A commo<br>\$0.0001  | on stock, p   | oar value   | 09/22/2020   |  |  | Р            | •                  |   | 15,000         | А                            | \$ 10   | 15,000   |                 |                                    | D  |             |
| Reminder: Report of  | on a separat  | e line for each class                                     | s of securities ben                                  | eficially owne   | ed dire  | ectly or inc | Pe                 | rsor<br>rm a  |                | uired to                     |   | ollection of infori<br>nd unless the for   |                 |                                    |  | 1474 (9-02) |
|  |   |   | Table II   | - Derivative (e.g., puts,                                      |  |              |                    |   |                |                              |   | Owned  |                 |                                    |  |             |
| Derivative Conversion Date Ex<br>Security or Exercise (Month/Day/Year) |   | 3A. Deemed<br>Execution Date, i<br>any<br>(Month/Day/Year | 4. Transaction 5. N Code Der (Instr. 8) Sec Acq Disp |  | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) Instr. 3, 4, and 5) |              | 6. E<br>and<br>(Mo | Date Exercisable I Expiration Date onth/Day/Year)                             |                | 7. Title<br>Under<br>(Instr. | rlying Securities 3 and 4) Derivative Security (Instr. 5) |  |                 | Ownership<br>Form of<br>Derivative | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |             |
|  |   |   |  | Code   | V  | (A)          | (D)                | Dat<br>Exe  | e<br>ercisable | Expiration<br>Date           | Title I   | Amount or<br>Number of Shares  |                 | Transaction(s) (Instr. 4)          | (I)<br>(Instr. 4)  |             |
| Reporting  | Owner   | 'S  |  |  |  |              |                    |   |                |                              |   |  |                 |                                    |  |             |

| Barrantin o Oroman Nama / Addusa                                  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| Reporting Owner Name / Address                                    |               | 10% Owner | Officer | Other |  |  |  |
| GORLIN STEVE<br>150 GULF SHORE DR<br>UNIT 601<br>DESTIN, FL 32541 | Х             |           |         |       |  |  |  |

## **Signatures**

| /s/ Steve Gorlin              | 10/14/2020 |
|-------------------------------|------------|
| Signature of Reporting Person | Date       |

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