

# **SECURITIES & EXCHANGE COMMISSION EDGAR FILING**

# Rocky Mountain Chocolate Factory, Inc.

Form: 4

Date Filed: 2016-05-24

Corporate Issuer CIK: 1616262

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### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVA	L
OMB Number:	3235-0287
Estimated average burden	hours
per response	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Re	sponses)																			
1. Name and Add KIEN GERAL		rting Pe	rson <u></u>		F	Issuer Name and Ticker or Trading Symbol     Rocky Mountain Chocolate Factory, Inc. [RMCF]									5. Relationship	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner				
(Last) (First) (Middle) 265 TURNER DRIVE						Date of Earliest Transaction (Month/Day/Year)     03/01/2016									Officer (give til	le below)		(specify below)		
(Street)				4	4. If Amendment, Date Original FiledMonth/Day/Year)									_X_ Form filed by On	Individual or Joint/Group Filling(Check Applicable Line)  _X_Form filed by One Reporting Person  Form filed by More than One Reporting Person					
DURANGO, (City)		(State)		(Zip)		Table I - Non-Derivative Securities Acquired, Dispo									quired, Disposed	d of, or Beneficially Owned				
1.Title of Security (Instr. 3)		2. Trans Date (Month/	saction Day/Yea	Execution Date, it		e, if C	(Instr. 8)			or Disposed of (D) (Instr. 3, 4 and 5)		) )	Following Repor (Instr. 3 and 4)	) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Ownership Form: Direct (D) or Indirect (I)	Beneficia Ownershi			
Common				03/01/	2016					Code	е	V	2,000	, ,	Price \$ 10.21	42 466			(Instr. 4)	
Reminder: Repor	rt on a separa	te line f	or each c	lass of secui	rities ben	eficially	own	ned d	lirectly	or indi	Pe	erso rm a	re not re	equired		collection of infor				1474 (9-02
					Table II						uired,	, Dis	control n sposed o , convert	f, or Bei		lly Owned				
Title of     Derivative     Security     Or Exercise     Price of     Derivative     Security		Date	nsaction h/Day/Ye	ar) any		(Instr. 8)		Deriva Secur Acqui Dispo	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		and Expiration Date (Month/Day/Year)		Und	itle and Amount of lerlying Securities tr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following	10. Ownership Form of Derivative Security: Direct (D)	11. Natur of Indirect Beneficia Ownersh (Instr. 4)		
						Cod	de	V	(A)	, ,	(D)	Da Ex	te ercisable	Expiration Date	on Title	Amount or Number of Shares	;	Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Reporting	g Owne	rs																		
Paparting Own	or Nama / Ad	draga		Relationsh	nips															
Reporting Owner Name / Address  Director 10% Owner Office			Officer	Other																
KIEN GERAL 265 TURNEF DURANGO, (	RDRIVE		х																	

# **Signatures**

/s/ Dr. Gerald A. Kien	05/24/2016
-Signature of Reporting Person	Date

### **Explanation of Responses:**

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

## FORM 4

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Print or Type Res	sponses)															
1. Name and Address of Reporting Person- KIEN GERALD A				Issuer Name and Ticker or Trading Symbol     Rocky Mountain Chocolate Factory, Inc. [RMCF]								5. Relationship o	Relationship of Reporting Person(s) to Issuer     (Check all applicable)     X Director 10% Owner			
(Last) 265 TURNER		Date of Earliest Transaction (Month/Day/Year)     03/01/2016								Officer (give titl	e below)		(specify below)			
(Street)  DURANGO, CO 81303				4. If Amendment, Date Original FiledMonth/Day/Year)								_X_ Form filed by One	Individual or Joint/Group Filing(Check Applicable Line)     X_Form filed by One Reporting Person     Form filed by More than One Reporting Person			
(City)	(	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
(Instr. 3)		2. Transaction Date (Month/Day/Yea	, ,		(Instr. 8)			4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)			/	curities Beneficially Ownerted Transaction(s)		Ownership Form:	7. Nature of Indirect Beneficial	
				(Month/Da	ay/Year)	Coo	de	٧	Amount	(A) or (D)	Price					Ownership (Instr. 4)
Common 03/0		03/01/2016			А			2,000	Α	\$ 10.21	42,466			D		
Reminder: Repor	t on a senarat	e line for each class	s of securities ben	neficially own	ed direc	tly or inc	directly									
				, , , , , , , , , , , , , , , , , , , ,		.,	Pe fo	ersor rm a		quired		collection of information ond unless the formation				1474 (9-02)
			Table II	- Derivative	Securi	ties Acc	quired	, Dis	posed o	f, or Bei	neficial	ly Owned				
	-			( <i>e.g.</i> , puts,	<u> </u>			<del>- '</del>						1		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	,		ivative and (Me urities (Me urities (A) or cosed of (D)		Date Exercisable and Expiration Date Month/Day/Year)		Und	tle and Amount of erlying Securities r. 3 and 4)	8. Price of Derivative Security (Instr. 5)	of 9. Number of Derivative Securities Beneficially Owned Following	10. Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code	٧	(A)	(D)	Dat Exe	e ercisable	Expiration Date	on Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	

### **Reporting Owners**

Departing Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
KIEN GERALD A								
265 TURNER DRIVE	Х							
DURANGO, CO 81303								

### **Signatures**

/s/ Dr. Gerald A. Kien	05/24/2016
-Signature of Reporting Person	Date

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