

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

Rocky Mountain Chocolate Factory, Inc.

Form: 3

Date Filed: 2017-08-31

Corporate Issuer CIK: 1616262

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FORM 3

(Print or Type Responses)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL							
OMB Number: 3235-0104							
Estimated average burden hours							
per response 0.5							

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of McGrath Ryan R	Date of Event Requiring Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol Rocky Mountain Chocolate Factory, Inc. [RMCF]								
(Last) 265 TURNER DRIV	(First)	(Middle)	·			(Che	Reporting Person(s) to ck all applicable)		endment, Danth/Day/Year)	ate Original	
	(Street)						DirectorX Officer (give title be	Line)	dual or Join	t/Group Filing(Check Applicable	
DURANGO, CO 81	303										an One Reporting Person
(City)	(State)	(Zip)				Tabl	e I - Non-Deriva	ative Securities	Beneficially (wned	
1.Title of Security (Instr. 4)				C	2. Amo Owned Instr.	d d	ities Beneficially	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indire (Instr. 5)	ct Beneficia	l Ownership
Common				1	1,464	1		D			
Reminder: Report on a s	eparate line for ea	ach class of secur	ities benefic	cially owne	d dire	ctly or indired	ctly.				SEC 1473 (7-02)
·	Persons wh		e collection	of inforn				required to respond	d unless the form	displays	,
		Table II - Derivat	tive Securit	ties Benef	icially	/ Owned (e.g	., puts, calls, warra	ints, options, conve	rtible securities)		
Title of Derivative Sec (Instr. 4)	urity	(I	2. Date Exer and Expiration Month/Day/Yea Date	on Date	Unde (Instr	erlying Deriva . 4)		Exercise Price of Derivative Security	of Derivative	Ownersh	of Indirect Beneficial ip
			Exercisable	Date	Title	Amount or N	lumber of Shares		(Instr. 5)		

Reporting Owners

Panarting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
McGrath Ryan R						
265 TURNER DRIVE			VP of IT			
DURANGO, CO 81303						

Signatures

/s/ Ryan R. McGrath	08/31/2017
-Signature of Reporting Person	Date

Explanation of Responses:

- $^{\star}\,$ If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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1. Name and Address of Reporting Person 2. Date of Event Requiring Statement McGrath Ryan R (Month/Day/Year) 3. Issuer Name and Ticker or Trad (Month/Day/Year) Rocky Mountain Chocolate												
(Last) (First) (Middle) US/ 265 TURNER DRIVE				08/18/2017			(Chec	leporting Person(s) to ck all applicable)		5. If Amendment, Date Original Filed(Month/Day/Year)		
	(Street)						DirectorX Officer (give title be	elow) 10% Owner Other (specify VP of IT	Line)	Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person		
DURANGO, CO 813	03									d by More than One Reporting Person		
(City)	(State)	(Zip)				Tabl	e I - Non-Deriva	tive Securities	Beneficially Ow	rned		
1.Title of Security (Instr. 4)				Amount of Securities Beneficially Owned (Instr. 4)			,	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Nature of Indirect Beneficial Ownership r (Instr. 5)			
Common			1	1,464			D					
Reminder: Report on a separate line for each class of securities Persons who respond to the co a currently valid OMB control n				n of inform		•	•	required to respond	d unless the form d	SEC 1473 (7-02)		
	Т	able II - Deriva	tive Securi	ties Benef	icially	Owned (e.g	., puts, calls, warra	nts, options, conve	rtible securities)			
Title of Derivative Secu (Instr. 4)	rity	6	2. Date Exercisable and Expiration Date Underlying De (Instr. 4)		rlying Deriva . 4)	tive Security		of Derivative Security: Direct (D)	Nature of Indirect Beneficial Ownership (Instr. 5)			
			Date Exercisable	Expiration Date	Title	Amount or N	lumber of Shares		or Indirect (I) (Instr. 5)			

Reporting Owners

Reporting Owner Name / Address	Relationships					
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McGrath Ryan R						
265 TURNER DRIVE			VP of IT			
DURANGO, CO 81303						

Signatures

/s/ Ryan R. McGrath	08/31/2017
-Signature of Reporting Person	Date

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