

# SECURITIES & EXCHANGE COMMISSION EDGAR FILING

## TOMI Environmental Solutions, Inc.

**Form: 3**

**Date Filed: 2016-02-04**

Corporate Issuer CIK: 314227

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person Shane Dr. Halden Stuart <small>(Last) (First) (Middle)</small> C/O TOMI ENVIRONMENTAL SOLUTIONS, INC., 9454 WILSHIRE BLVD., R-1 <small>(Street)</small> BEVERLY HILLS, CA 90212 <small>(City) (State) (Zip)</small>		2. Date of Event Requiring Statement (Month/Day/Year) 02/01/2016	3. Issuer Name and Ticker or Trading Symbol TOMI Environmental Solutions, Inc. [TOMZ.PK]	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) Chief Executive Officer		
		5. If Amendment, Date Original Filed(Month/Day/Year)		
		6. Individual or Joint/Group Filing(Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	18,770,048	D (1)	
Class A Preferred Stock	510,000	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Common Stock Purchase Warrants	10/15/2012	10/15/2017	Common Stock	3,500,000	\$ 0.15	D	
Common Stock Purchase Warrants	02/11/2014(2)	02/11/2021	Common Stock	3,000,000	\$ 0.3	D	

**Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Shane Dr. Halden Stuart C/O TOMI ENVIRONMENTAL SOLUTIONS, INC. 9454 WILSHIRE BLVD., R-1 BEVERLY HILLS, CA 90212	X	X	Chief Executive Officer	

**Signatures**

Harold W. Paul, Attorney-in-Fact 02/04/2016  
Signature of Reporting Person Date

**Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 500,000 shares owned by the Shane Family Trust of which Dr. Shane is a trustee. Does not include 1,000,000 shares held by Belinha Shane, his wife, of which he disclaims beneficial ownership.

(2) 2,000,000 Common Stock Purchase Warrants are currently vested and the balance of 1,000,000 Common Stock Purchase Warrants vest on 2/11/16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person Shane Dr. Halden Stuart <small>(Last) (First) (Middle)</small> C/O TOMI ENVIRONMENTAL SOLUTIONS, INC., 9454 WILSHIRE BLVD., R-1 <small>(Street)</small> BEVERLY HILLS, CA 90212 <small>(City) (State) (Zip)</small>		2. Date of Event Requiring Statement (Month/Day/Year) 02/01/2016	3. Issuer Name and Ticker or Trading Symbol TOMI Environmental Solutions, Inc. [TOMZ.PK]
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) Chief Executive Officer	5. If Amendment, Date Original Filed (Month/Day/Year)
		6. Individual or Joint/Group Filing (Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	18,770,048	D (1)	
Class A Preferred Stock	510,000	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Common Stock Purchase Warrants	10/15/2012	10/15/2017	Common Stock	3,500,000	\$ 0.15	D	
Common Stock Purchase Warrants	02/11/2014 (2)	02/11/2021	Common Stock	3,000,000	\$ 0.3	D	

**Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Shane Dr. Halden Stuart C/O TOMI ENVIRONMENTAL SOLUTIONS, INC. 9454 WILSHIRE BLVD., R-1 BEVERLY HILLS, CA 90212	X	X	Chief Executive Officer	

**Signatures**

Harold W. Paul, Attorney-in-Fact <small>Signature of Reporting Person</small>	02/04/2016 <small>Date</small>
--	-----------------------------------

**Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 500,000 shares owned by the Shane Family Trust of which Dr. Shane is a trustee. Does not include 1,000,000 shares held by Belinha Shane, his wife, of which he disclaims beneficial ownership.

(2) 2,000,000 Common Stock Purchase Warrants are currently vested and the balance of 1,000,000 Common Stock Purchase Warrants vest on 2/11/16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.