

## **SECURITIES & EXCHANGE COMMISSION EDGAR FILING**

# **LGL GROUP INC**

Form: 4

Date Filed: 2019-12-13

Corporate Issuer CIK: 61004

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## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL                   |     |  |  |  |  |  |  |
|--------------------------------|-----|--|--|--|--|--|--|
| OMB Number: 3235-0287          |     |  |  |  |  |  |  |
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| per response                   | 0.5 |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type F  | Responses)      |  |   |   |                           |   |        |                        |   |   |   |                               |   |  |                                  |  |
|---|-----------------|--|---|---|---------------------------|---|--------|------------------------|---|---|---|-------------------------------|---|--|----------------------------------|--|
| Name and Address of Reporting Person  LAZAR BEL         |                 |  |   | Issuer Name <b>and</b> Ticker or Trading Symbol     LGL GROUP INC [LGL] |                           |   |        |                        |   |   | Relationship of Reporting Person(s) to Issuer     (Check all applicable)     X Director   |                               |   |  |                                  |  |
| (Last) (First) (Middle) C/O LGL GROUP, 2525 SHADER ROAD |                 |  |   | Date of Earliest Transaction (Month/Day/Year)     12/11/2019            |                           |   |        |                        |   |   | Officer (give titl  | e below)                      |   | (specify below)  |                                  |  |
| (Street)  |                 |  |   | 4. If Amendment, Date Original FiledMonth/Day/Year)                     |                           |   |        |                        |   |   | Individual or Joint/Group Filing(Check Applicable Line)     X_Form filed by One Reporting Person     Form filed by More than One Reporting Person |                               |   |  |                                  |  |
| ORLANDO,  | ,               | State)                                   | (Zip)   | Table I - Non-Derivative Securities ∆cou                                |                           |   |        |                        | uired, Disposed of, or Beneficially Owned |   |   |                               |   |  |                                  |  |
| 1.Title of Security                                     |                 | 2. Transaction<br>Date<br>(Month/Day/Yea | 2A. Deemed<br>Execution Date, i<br>any<br>(Month/Day/Year |   | 3. Tri<br>if Cod<br>(Inst | 3. Transaction<br>if Code<br>(Instr. 8) |        | 4. Securities Acquired |   | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) |   | 6.<br>Ownership<br>Form:      | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |                                  |  |
|   |                 |  |   |   |                           | (                                       | Code   | V                      | Amount                                    | (A) or<br>(D)   | Price   | Price                         |   |  | or Indirect<br>(I)<br>(Instr. 4) | (Instr. 4)   |
| Common Si   | tock            |  | 12/11/2019  | )   |                           |   | Α      |                        | 1,030                                     | Α   | \$0   | 1,030                         |   | D  |                                  |  |
|   |                 |  | Table II  |   |                           |   | O      | MB o                   | control nu                                | mber.<br>or Bene  | ficially  | nd unless the for  Owned      | m displays  | s a currently va   | alid                             |  |
| 1. Title of   | 2               | 2 Transaction                            | 3A. Deemed  | 1   | saction 5.                |   |        | _                      | convertib<br>Date Exerc                   |   |   | e and Amount of               | 8. Price of   | 9. Number of   | 10.                              | 11. Nature   |
| Derivative Conversion Date                              |                 | Date<br>(Month/Day/Year)                 | Execution Date, it  | Code<br>(Instr. 8   | D<br>Si<br>Ai<br>D        | erivativ<br>ecuritie<br>cquirectisposed | e<br>s | and<br>(Mo             | d Expiratio<br>onth/Day/Y                 | n Date<br>'ear)   | Under<br>(Instr.  | rlying Securities<br>3 and 4) | Derivative<br>Security<br>(Instr. 5)                | Derivative Over Securities For Beneficially Owned Security Owned S | Ownership<br>Form of             | of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |                 |  |   | Code  | e V                       | (A)                                     | (D)    | Dat                    | ercisable [                               | Expiration<br>Date  | HIIIe   | Amount or<br>Number of Shares |   | Transaction(s)<br>(Instr. 4)   | (I)<br>(Instr. 4)                |  |
|   | ng Owner        | l R                                      | elationships  |   |                           |   |        |                        |   |   |   |                               |   |  |                                  |  |
| Reporting Ow  | vner Name / Add | Iress                                    | % Owner Officer   | Other   |                           |   |        |                        |   |   |   |                               |   |  |                                  |  |

| Barrandina Common Names / Adduses                                   | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| Reporting Owner Name / Address                                      | Director      | 10% Owner | Officer | Other |  |  |  |
| LAZAR BEL<br>C/O LGL GROUP<br>2525 SHADER ROAD<br>ORLANDO, FL 32804 | Х             |           |         |       |  |  |  |

### **Signatures**

| /s/ Bel Lazar                  | 12/13/2019 |
|--------------------------------|------------|
| -Signature of Reporting Person | Date       |

### **Explanation of Responses:**

- $^{\star}\,$  If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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|   | OMB APPROVA                | L         |
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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

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| (Print or Type Res  | ponses)                                     |                             |   |   |          |  |                        |  |                                  |  |  |                        |  |   |   |
|---|---|-----------------------------|---|---|----------|--|------------------------|--|----------------------------------|--|--|------------------------|--|---|---|
| <ol> <li>Name and Address of Reporting Person<sup>*</sup></li> <li>LAZAR BEL</li> </ol> |   |                             |   | Issuer Name <b>and</b> Ticker or Trading Symbol     LGL GROUP INC [LGL] |          |  |                        |  |                                  |  | Relationship of Reporting Person(s) to Issuer     (Check all applicable)     X Director 10% Owner  |                        |  |   |   |
| C/O LGL GRO   | _   | 3. Date of Ea<br>12/11/2019 |   | ransaction (Mo  | nth/D    | ay/Year)                                     |                        | Officer (give titl                       | e below)                         |  | (specify below)  |                        |  |   |   |
| (Street) ORLANDO, FL 32804  |   |                             |   | 4. If Amendm  | ent, Da  | ate Original File                            | edMon                  | th/Day/Year)                             |                                  | Individual or Joint/Group Filing(Check Applicable Line)     X_ Form filed by One Reporting Person     Form filed by More than One Reporting Person |  |                        |  |   |   |
| (City)  |   | (State)                     | (Zip)   |   |          | Table I - N                                  | on-D                   | erivative                                | Securitie                        | es Acq   | equired, Disposed of, or Beneficially Owned  |                        |  |   |   |
| 1.Title of Security<br>(Instr. 3)   |   |                             | 2. Transaction<br>Date<br>(Month/Day/Yea                  | 2A. Deem<br>Execution<br>ar) any<br>(Month/Da                           | Date,    | (Instr. 8)                                   |                        | 4. Securi<br>(A) or Dis<br>(Instr. 3,    | sposed of<br>4 and 5)<br>(A) or  |  | 5. Amount of Sec<br>Following Report<br>(Instr. 3 and 4)   |                        | tion(s)  | Ownership<br>Form:<br>Direct (D)                            | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common Stoo   | k   |                             | 12/11/2019  |   |          | Α  |                        | 1,030                                    | Α                                | \$0  | 1,030  |                        |  | D   |   |
| Reminder: Report  | t on a separat                              | e line for each class       |   | I - Derivative  | Secur    | P  | erso<br>orm a<br>OMB o | are not recontrol nu                     | quired to<br>imber.<br>, or Bene | respo  | collection of information of unless the formation of the collection of the collectio |                        |  |   | 1474 (9-02)   |
| Security  | 2.<br>Conversion<br>or Exercise<br>Price of |                             | 3A. Deemed<br>Execution Date, i<br>any<br>(Month/Day/Year | (Instr. 8)  | De<br>Se | Number of erivative ecurities equired (A) or | and                    | Date Exerc<br>d Expiration<br>onth/Day/\ | n Date                           | Unde   | le and Amount of<br>rlying Securities<br>. 3 and 4)  | Derivative<br>Security | 9. Number of<br>Derivative<br>Securities<br>Beneficially       |   | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership              |
|   | Derivative<br>Security                      |                             |   | Code  |          | isposed of (D) nstr. 3, 4, and 5             | Dat                    | te Eercisable [                          | Expiration<br>Date               | Little   | Amount or<br>Number of Shares  |                        | Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | (Instr. 4)  |
|   |   |                             |   |   |          |  |                        |  |                                  |  |  |                        |  |   |   |

## **Reporting Owners**

| Danisation Occasion Names / Address | Relationships |           |         |       |  |  |  |  |
|-------------------------------------|---------------|-----------|---------|-------|--|--|--|--|
| Reporting Owner Name / Address      | Director      | 10% Owner | Officer | Other |  |  |  |  |
| LAZAR BEL                           |               |           |         |       |  |  |  |  |
| C/O LGL GROUP                       | Х             |           |         |       |  |  |  |  |
| 2525 SHADER ROAD                    | ^             |           |         |       |  |  |  |  |
| ORLANDO, FL 32804                   |               |           |         |       |  |  |  |  |

### **Signatures**

| /s/ Bel Lazar                 | 12/13/2019 |
|-------------------------------|------------|
| Signature of Reporting Person | Date       |

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