

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

DGSE COMPANIES INC

Form: 4

Date Filed: 2019-09-11

Corporate Issuer CIK: 701719

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROV | OMB APPROVAL | | | | | | | | | |
|--------------------------|--------------|--|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | | |
| Estimated average burder | n hours | | | | | | | | | |
| per response | 0.5 | | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Type Resp | onses) | | | | | | | | | | | | | | | |
|--|---|--|---|---|------------------|---|---------------------|---------------|---|--|--|---|-------------|--|--|-----------------------|
| Name and Addre DeStefano Allis | | 2. Issuer N | | | | _ | | | Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | | | | |
| 2101 W. BELT | | Date of Ea | | Fransa | ction (Mo | nth/D | ay/Year) | | Officer (give tit | le below) | | (specify below) | | | | |
| CARROLLTON | 4. | If Amendr | ment, D | ate Or | iginal File | dMon | th/Day/Year) | | _X_ Form filed by On | Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| (City) | | State) | (Zip) | | | Т | able I - N | on-D | erivative | Securiti | es Acc | quired, Disposed | of, or Bene | ficially Owned | | |
| 1.Title of Security (Instr. 3) | | 2. Transaction Date (Month/Day/Year | | | if Coo | (Instr. 8) | | | | | 5. Amount of Sec Following Report (Instr. 3 and 4) | | | Ownership Form: | Beneficia | |
| | | | | (IVIONITI/L | (Month/Day/Year) | | Code | V | Amount | (A) or (D) | Price | | | | Direct (D) or Indirect (I) (Instr. 4) | Ownersh (Instr. 4) |
| Common Stock | < | | 09/11/2019 | 09/11/2019 | | | Р | | 615 | Α | \$ 1.22 | 615 | | | D | |
| Common Stock | < | | 09/11/2019 | 09/11/2019 | | | Р | | 185 | Α | \$ 1.27 | 800 | | | D | |
| | | | Table II | - Derivativ | | | fo O Acquired | orm a MB o | re not re control n | quired to umber. | respo | collection of infor ond unless the fo | | | | 1474 (9-0 |
| Derivative C Security (Instr. 3) F | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction 5. N Code Der (Instr. 8) Sec Acc Dis | | . Numl Perivati Securiti Acquire | rivative | | Date Exer d Expiration | on Date Ur | | ele and Amount of orlying Securities . 3 and 4) | | 9. Number of Derivative Securities Beneficially Owned Following | 10. Ownership Form of Derivative Security: Direct (D) | Beneficia |
| | | | | Code | V | (A) | | | te ercisable | Expiratio Date | n Title | Amount or Number of Shares | S | Reported Transaction(s) (Instr. 4) | or Indirect (I) (Instr. 4) | |
| Reporting Owner DeStefano Allis 2101 W. BELT CARROLLTON | Name / Add son M LINE ROA | ress Director 10 | Relationships % Owner Officer | Other | | | | | | | | | | | | |
| Signatures /s/ Allison M Dosignature of Reportion | eStefano ing Person | 09/11/2 Date | | | | | | | | | | | | | | |
| * If the form is filed * Intentional missta 78ff(a). Note: File three cop | d by more tha atements or c | n one reporting pe | erson,see Instruction constitute Federal (| Criminal Vid | | | | | | | ure | | | | | |

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

FORM 4

(Print or Type Responses)

DeStefano Allison M

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL | | | | | | | | | | |
|-----------------------|------------|--|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | | |
| Estimated average but | rden hours | | | | | | | | | |

per response..

(Check all applicable)

5. Relationship of Reporting Person(s) to Issuer

0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person-

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading Symbol

DGSE COMPANIES INC [DGSE]

| (Last) | (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) | | | | | | | | | X Director Officer (give titl | lo bolow) | 10% C | (specify below) | | |
|--|--|--|---|--------------|----------------|---|--------------|---------------------------------------|---|--|---|---|---|----------------------------------|-------------------------|
| | ELT LINE RO | | , , | 9/11/2019 | | ansaction (ivi | ontn/I | Day/Year) | | | Officer (give titi | le below) | Other | (specify below) | |
| | | 4. | If Amendm | nent, Da | te Original Fi | ledMoi | nth/Day/Year |) | Individual or Joint/Group Filing(Check Applicable Line) X_Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| CARROLL | (7in) | | | | | | | | | | | | | | |
| (City) | | (State) | (Zip) | | | Table I - | Non-I | Derivative | Securiti | es Acq | uired, Disposed o | of, or Bene | ficially Owned | | |
| 1.Title of Security (Instr. 3) | | Transaction Date (Month/Day/Year | 2A. Deemed Execution Date, if) any (Month/Day/Year) | | (Instr. 8) | | (A) or D | rities Acq isposed o , 4 and 5) | | | curities Beneficially Owned ted Transaction(s) | | Ownership Form: | Beneficial | |
| | | | | | | Code | V | Amount | (A) or Amount (D) F | | | | | | Ownership (Instr. 4) |
| Common S | itock | | 09/11/2019 | 09/11/2019 | | Р | | 615 | Α | \$ 1.22 | 615 | | | D | |
| Common Stock | | | 09/11/2019 | 09/11/2019 | | Р | | 185 | А | \$ 1.27 | 800 | | | D | |
| D : 1 D | | | | 6 . 0 | | | | | | | | | | | |
| neminaer. ne | port on a separa | te line for each c | lass of securities bene | nicially own | ied direc | | • | no who i | oonand t | o tho o | ollection of infor | mation con | tained in this | SEC | 1474 (9-02 |
| | | | | | | | form | | equired to | | nd unless the for | | | | (0 0- |
| | | | Table II - | | | ities Acquire warrants, op | | • | ′ | • | / Owned | | | | |
| 1. Title of | 2. | 3. Transaction | 3A. Deemed | 4. Transact | Number of | | Date Exe | | | e and Amount of 8. Price of 9. Number of | | | 10. | 11. Nature | |
| Derivative | Conversion | Date | Execution Date, if | | | | | | · · | | , , | | Derivative | Ownership | |
| Security (Instr. 3) | or Exercise Price of | of (Native | ar) any (Month/Day/Year) |) Ac | | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | (Month/Day/Year) (In: | | (Instr. | 3 and 4) | Security Securities (Instr. 5) Beneficially Owned | | Form of Derivative | Beneficial Ownership |
| (111301.0) | Derivative | | (World / Day / Tear) | | | | | | | | | | | Security: | (Instr. 4) |
| | Security | | | | | | | | | | | | Following | Direct (D) | , |
| | | | | Code | V | (A) (D) | | ate cercisable | Expiration Date | | Amount or Number of Shares | 3 | Reported Transaction(s (Instr. 4) | or Indirect (I) (Instr. 4) | |
| Reporti | ng Owne | rs | | | | () | _ | | | | | | , , | , | |
| | | _ | Relationships | | | | | | | | | | | | |
| Reporting Owner Name / Address Director 10% Owner Officer | | | | Other | | | | | | | | | | | |
| DeStefano | Allison M | | | | | | | | | | | | | | |
| 2101 W. BE | ELT LINE RO | AD. X | | | | | | | | | | | | | |
| CARROLL | TON, TX 7500 | 06 | | | | | | | | | | | | | |
| Signatu | res | | | | | | | | | | | | | | |
| /s/ Allison I | M DeStefano | 09/1 | 1/2019 | | | | | | | | | | | | |
| -Signature of F | Reporting Person | Di | ate | | | | | | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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