

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

PRO DEX INC

Form: 4

Date Filed: 2019-02-12

Corporate Issuer CIK: 788920

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
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per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person CABILLOT RAYMOND E <small>(Last) (First) (Middle)</small> 3033 EXCELSIOR BOULEVARD, STE. 560 <small>(Street)</small> MINNEAPOLIS, MN 55416 <small>(City) (State) (Zip)</small>	2. Issuer Name and Ticker or Trading Symbol PRO DEX INC [PDEX] 3. Date of Earliest Transaction (Month/Day/Year) 02/11/2019 4. If Amendment, Date Original Filed (Month/Day/Year)	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) 6. Individual or Joint/Group Filing (Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person
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Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	02/11/2019		S(1)		100	D	\$ 15.65	500,011	I	By Farnam Street Partners, L.P
Common Stock	02/11/2019		S(1)		200	D	\$ 15.585	499,811	I	By Farnam Street Partners, L.P
Common Stock	02/11/2019		S(1)		150	D	\$ 15.53	499,661	I	By Farnam Street Partners, L.P
Common Stock	02/11/2019		S(1)		1,400	D	\$ 15.52	498,261	I	By Farnam Street Partners, L.P
Common Stock	02/11/2019		S(1)		6,800	D	\$ 15.51	491,461	I	By Farnam Street Partners, L.P
Common Stock	02/11/2019		S(1)		100	D	\$ 15.505	499,361	I	By Farnam Street Partners, L.P
Common Stock	02/11/2019		S(1)		2,608	D	\$ 15.5	488,753	I	By Farnam Street Partners, L.P
Common Stock	02/12/2019		S(1)		1,400	D	\$ 15.5	487,353	I	By Farnam Street Partners, L.P
Common Stock	02/12/2019		S(1)		100	D	\$ 15.54	487,253	I	By Farnam Street Partners, L.P
Common Stock	02/12/2019		S(1)		360	D	\$ 15.55	486,893	I	By Farnam Street Partners, L.P
Common Stock	02/12/2019		S(1)		240	D	\$ 15.56	486,653	I	By Farnam Street Partners, L.P
Common Stock	02/12/2019		S(1)		1,042	D	\$ 15.57	485,611	I	By Farnam Street Partners, L.P
Common Stock	02/12/2019		S(1)		100	D	\$ 15.58	485,511	I	By Farnam Street Partners, L.P

Common Stock	02/12/2019		S ⁽¹⁾	200	D	\$ 15.59	485,311		By Farnam Street Partners, L.P
Common Stock	02/12/2019		S ⁽¹⁾	200	D	\$ 15.6	485,111		By Farnam Street Partners, L.P
Common Stock	02/12/2019		S ⁽¹⁾	4,049	D	\$ 16	481,062		By Farnam Street Partners, L.P
Common Stock	02/12/2019		S ⁽¹⁾	1,700	D	\$ 16.01	479,362		By Farnam Street Partners, L.P

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		(A)	(D)	Date Exercisable	Expiration Date				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CABILLOT RAYMOND E 3033 EXCELSIOR BOULEVARD STE. 560 MINNEAPOLIS, MN 55416	X	X		
FARNAM STREET PARTNERS LP /MN 3033 EXCELSIOR BOULEVARD MINNEAPOLIS, MN 55416		X		

Signatures

/s/ Alisha Charlton as attorney-in-fact for Raymond E. Cabillot	02/12/2019
<small>Signature of Reporting Person</small>	<small>Date</small>
/s/ Alisha Charlton as attorney-in-fact for Farnam Street Partners, L.P.	02/12/2019
<small>Signature of Reporting Person</small>	<small>Date</small>

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting persons on December 4, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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