

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

WILLAMETTE VALLEY VINEYARDS INC

Form: 8-K

Date Filed: 2019-09-12

Corporate Issuer CIK: 838875

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): September 7, 2019

Willamette Valley Vineyards, Inc.

(Exact name of Company as specified in its charter)

Oregon

(State or other
jurisdiction of
incorporation)

0-21522

(Commission File No.)

93-0981021

(I.R.S. Employer
Identification No.)

8800 Enchanted Way SE

Turner, OR 97392

(Address of principal executive offices)

(503) 588-9463

Registrant's telephone number, including area code

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock,	WVVI	NASDAQ Capital Market
Series A Redeemable Preferred Stock	WVVIP	NASDAQ Capital Market

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2). Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On September 7, 2019, the Board of Directors (the "Board") of Willamette Valley Vineyards, Inc. (the "Company") appointed Ms. Leslie Copland to serve as a director on the Board effective September 7, 2019. Ms. Copland has not currently been appointed to any committees of the Board, but the Board anticipates appointing her to the Board's Compensation Committee.

In connection with her appointment to the Board, Ms. Copland will receive compensation as a non-employee director in accordance with the WVV Board Member Compensation Plan as described in the section entitled "Director Compensation" in the Company's definitive proxy statement on Schedule 14A filed with the U.S. Securities and Exchange Commission on May 23, 2019.

There are no arrangements or understandings between Ms. Copland and any person pursuant to which Ms. Copland was appointed as a director. Further, there are no transactions between Ms. Copland and the Company that would require disclosure under Item 404(a) of Regulation S-K.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

WILLAMETTE VALLEY VINEYARDS, INC.

Date: September 12, 2019

By: /s/ JAMES W. BERNAU

James W. Bernau
President