

# **SECURITIES & EXCHANGE COMMISSION EDGAR FILING**

## WILLAMETTE VALLEY VINEYARDS INC

Form: 3

Date Filed: 2019-09-30

Corporate Issuer CIK: 838875

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(Print or Type Responses)

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL				
OMB Number: 3235-0104				
Estimated average burden hours				
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#### **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person     COPLAND LESLIE J	(Month/Day/Year)		Issuer Name and Ticker or Trading Symbol     WILLAMETTE VALLEY VINEYARDS INC [WVVI]				
(Last) (First) (Middle) 2350 NW SAVIER STREET, UNIT 406	09/07/2019	4. Helationship of Reporting Person(s) to Issuer (Check all applicable) Filed(Month/Day/Year)					
(Street)			Officer (give title below)Officer (specify below)Li		Line)	ndividual or Joint/Group Filing(Check Applicable ) Form filed by One Reporting Person	
PORTLAND, OR 97210						Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City) (State) (Zip)		Table I - Non-Derivative Securities Beneficially Owned			rned		
Title of Security (Instr. 4)		2. Amount of Securities Beneficially Owned (Instr. 4)  3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)				e of Indirect Beneficial Ownership	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  SEC				SEC 1473 (7-02)			
· · · · · · · · · · · · · · · · · · ·	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.			isplays			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date	3. Title and Amour Underlying Deriva (Instr. 4)  Title Amount or N	tive Security	Exercise Price of	•	Nature of Indirect Beneficial     Ownership     (Instr. 5)	

#### **Reporting Owners**

Reporting Owner Name / Address		Relationships				
		10% Owner	Officer	Other		
COPLAND LESLIE J						
2350 NW SAVIER STREET, UNIT 406	Х					
PORTLAND, OR 97210						

### **Signatures**

/s/ Leslie J. Copland	09/26/2019
Signature of Reporting Person	Date

### **Explanation of Responses:**

#### No securities are beneficially owned

- $^{\star}\,$  If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.



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(Print or Type Responses)									
1. Name and Address of Reporting Person 2. Date of Event Requiring S (Month/Day/Year)		quiring Statement	3. Issuer Name and Ticker or Trading Symbol WILLAMETTE VALLEY VINEYARDS INC [WVVI]						
(Last) 2350 NW SAVIER S	(First) TREET, UNIT 4	(Middle) ·06	09/07/2019		(Chec	Reporting Person(s) to ck all applicable)		5. If Amendment, Date Original Filed(Month/Day/Year)	
PORTLAND, OR 972	(Street)			XDirector10% Owner Officer (give title below) Other (specify below)			Line) _X_ Form file	Individual or Joint/Group Filing(Check Applicable  Line)  _X Form filed by One Reporting Person  _Form filed by More than One Reporting Person	
(City)	(State)	(Zip)		Tab	le I - Non-Deriva	tive Securities	Beneficially Ow	ned	
1. Title of Security (Instr. 4)  2. Amount of Securities Owned (Instr. 4)			rities Beneficially	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Nature of Indirect Beneficial Ownership Instr. 5)				
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.    Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.    Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)						SEC 1473 (7-02)			
Title of Derivative Secu			2. Date Exercisable	3. Title and Amou	• • • •			6. Nature of Indirect Be	eneficial
(Instr. 4)	,	a	and Expiration Date  Month/Day/Year)	Underlying Deriva			of Derivative	Ownership	. Tonoid.
			Date Expiration Exercisable Date	Title Amount or I	Number of Shares		or Indirect (I) (Instr. 5)		
D 11 0									

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2350 NW SAVIER STREET, UNIT 406	Х						
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