

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

NANOPHASE TECHNOLOGIES Corp

Form: 4

Date Filed: 2018-05-24

Corporate Issuer CIK: 883107

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVA	L
OMB Number:	3235-0287
Estimated average burden	hours
per response	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type	Responses)																
1. Name and A	Issuer Name and Ticker or Trading Symbol NANOPHASE TECHNOLOGIES Corp [NANX]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X_ Director 10% Owner									
(Last) (First) (Middle) C/O NANOPHASE TECHNOLOGIES CORP, 1319 MARQUETTE DRIVE					3. Date of Earliest Transaction (Month/Day/Year) 05/23/2018							Officer (give title below) Other (specify below)					
(Street)					nend	dment, Date	e Oriç	ginal FiledMonth/Day	/Year)	_X_ Fo	lividual or Jo rm filed by One rm filed by More	Reporting Pers		able Line)			
ROMEOVILLE, IL 60446																	
(City)		(State)	(Zip)		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2A. Deemed 3. Transaction 4. Securities Acquired 5. Amount of Securities Beneficially Owned 6. 7. Nature												
1.Title of Secu (Instr. 3)	ırity		2. Transactio Date (Month/Day/\)	Exe (ear) any	cutio	on Date, if	Code (Instr	(A) (Ins	ecurities Acquir or Disposed of (ir. 3, 4 and 5) (A) or ount (D)	(D) Follow	ount of Securing Reporte 3 and 4)		•	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Re	port on a sep	parate line for each	class of securities I	peneficiall	ly ov	vned directl	y or	Persons w form are n	ho respond to ot required to rol number.						1474 (9-02)		
			Tabl	e II - Deri	vati	ve Securiti	ies A	Acquired, Dispos	ed of, or Benef	icially Owne	ed						
		T.		(e.g.		ts, calls, w	arra	nts, options, con	vertible securi	ties)		ı	ı	1			
Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	ion	5. Number Derivative Securities Acquired (Disposed ((D) (Instr. 3, 4 and 5)	A) oi	Date Exercisal Expiration Date (Month/Day/Year)					9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)	Form of Derivative Security: Direct (D) or Indirect (I)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	٧	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)	(Instr. 4)			
Common Stock (right to purchase)	\$ 1.19							01/12/2012 ⁽¹⁾	01/12/2021	common stock	10,000		10,000	D			
Common Stock (right to purchase)	\$ 0.3							08/07/2013 ⁽¹⁾	08/07/2022	common stock	10,000		10,000	D			
Common Stock (right to purchase)	\$ 0.415							02/14/2014 ⁽¹⁾	02/14/2023	common stock	12,000		12,000	D			
Common Stock (right to purchase)	\$ 0.52							02/13/2015 ⁽¹⁾	02/13/2024	common stock	12,000		12,000	D			
Common Stock (right to purchase)	\$ 0.44							02/18/2016 ⁽¹⁾	02/18/2025	common stock	10,800		10,800	D			
Common Stock (right to purchase)	\$ 0.42							02/23/2017 ⁽¹⁾	-02/23/2026	common stock	9,720		9,720	D			
Common Stock (right to purchase)	\$ 0.68							02/21/2018 ⁽¹⁾	02/21/2027	Common Stock	12,000		12,000	D			
Common Stock	¢ 0.90	05/02/0019		^		10,000		05/00/0040(1)	05/02/0000	Common	10,000	¢ 0 00	12,000				

05/23/2019⁽¹⁾ 05/23/2028

12,000

Stock

12,000 \$ 0.82

12,000

D

Reporting Owners

(right to

purchase)

\$ 0.82

05/23/2018

	Director	10% Owner	Officer	Other	
TYLER W EDWIN					ı
C/O NANOPHASE TECHNOLOGIES CORP	v				ı
1319 MARQUETTE DRIVE	^				ı
ROMEOVILLE, IL 60446					ı

Signatures

By Jess Jankowski under UPA for W. Ed Tyler	05/24/2018
-Signature of Reporting Person	Date

Explanation of Responses:

- * $\,$ If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Subject to certain restrictions, beginning on this date, options vest in three equal annual installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type I	Responses)																
Name and Address of Reporting Person [*] TYLER W EDWIN					Issuer Name and Ticker or Trading Symbol NANOPHASE TECHNOLOGIES Corp [NANX]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) C/O NANO MARQUET		(First) ECHNOLOGIES	(Middle) S CORP, 1319		Date of Earliest Transaction (Month/Day/Year) 05/23/2018								e below)	Other	(specify below)		
ROMEOVIL	1 E II 60/	(Street)		4. If Am									oint/Group Reporting Per than One Rep		able Line)		
(City)	LE, IL 60 ²	(State)	(Zip)		Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Ye			Exe Year) any	Execution		3. Tra Code (Instr	ansaction	4. Se (A) o (Inst	ecurities Acquir or Disposed of (r. 3, 4 and 5)	red 5. An	ifred, Disposed of, or Beneficially Owner 5. Amount of Securities Beneficially Owner Following Reported Transaction(s) (Instr. 3 and 4)			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Re	port on a sep	arate line for each	class of securities	beneficiall	y ov	vned direct	ly or	indirectly.									
								form	are n	ho respond to ot required to ol number.				tained in this a currently va		1474 (9-02)	
			Tab					-	-	ed of, or Benef	-	ed					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code		5. Numbe Derivative Securities Acquired Disposed (D) (Instr. 3, 4 and 5)	r of (A) or of	6. Date Ex Expiration (Month/Da	ercisat Date		7. Title and Amount of			9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)	Security: Direct (D) or Indirect (I)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	٧	(A)	(D)	Date Exerc	cisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)	(Instr. 4)		
Common Stock (right to purchase)	\$ 1.19							01/12/20	112 ⁽¹⁾	01/12/2021	commor stock	10,000		10,000	D		
Common Stock (right to purchase)	\$ 0.3							08/07/20	13 ⁽¹⁾	08/07/2022	commor stock	10,000		10,000	D		
Common Stock (right to purchase)	\$ 0.415							02/14/20	114 ⁽¹⁾	02/14/2023	commor stock	12,000		12,000	D		
Common Stock (right to purchase)	\$ 0.52							02/13/20	15 ⁽¹⁾	02/13/2024	commor stock	12,000		12,000	D		
Common Stock (right to purchase)	\$ 0.44							02/18/20	16 ⁽¹⁾	02/18/2025	commor stock	10,800		10,800	D		
Common Stock (right to purchase)	\$ 0.42							02/23/20	117 ⁽¹⁾	02/23/2026	commor stock	9,720		9,720	D		
Common Stock (right to purchase)	\$ 0.68							02/21/20	18 ⁽¹⁾	02/21/2027	Commor Stock	12,000		12,000	D		
Common Stock (right to	\$ 0.82	05/23/2018		А		12,000		05/23/20	19 ⁽¹⁾	05/23/2028	Commor Stock	12,000	\$ 0.82	12,000	D		

Reporting Owners

		Director	10% Owner	Officer	Other	
C/ 13	YLER W EDWIN /O NANOPHASE TECHNOLOGIES CORP 319 MARQUETTE DRIVE OMEOVILLE, IL 60446	Х				

Signatures

By Jess Jankowski under UPA for W. Ed Tyler	05/24/2018
-Signature of Reporting Person	Date

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