

# **SECURITIES & EXCHANGE COMMISSION EDGAR FILING**

**Vertex Energy Inc.** 

Form: 4

Date Filed: 2019-05-24

Corporate Issuer CIK: 890447

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#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APP	ROVAL
OMB Number:	3235-028
Catimated average by	udan barre

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

0.5 per response.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type	e Responses	)																
1. Name and	d Address of	ress of Reporting Person-				2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer				
	hristopher	Allen		Ver	Vertex Energy Inc. [VTNR]								(Check all applicable)X_ Director 10% Owner					
1331 GEN	*	ET, SUITE 250	(Middle)		. Date of Earliest Transaction (Month/Day/Year) I5/20/2019							Officer (give ti	tle below)		(specify below)			
		(Street)		4. If									. Individual or	Joint/Group	Filing(Check Applic	able Line)		
HOUSTO	N, TX 770	58												ne Reporting Per ore than One Re	son porting Person			
(City	')	(State)	(Zip)				Table	e I - No	on-Der	ivative	Securiti	es Acquir	ed, Disposed	of, or Bene	ficially Owned			
1.Title of Sec	curity		2. Transac	tion 2	2A. D	eemed	3. Tran	nsactio	n 4	. Secu	rities Acq	uired 5.	Amount of Se	curities Ben	eficially Owned	6.	7. Nature	
(Instr. 3) Date (Month/Day/Y			y/Year)	any	ution Date, i	(Instr. 8	8)	(A) or Disposed of (Instr. 3, 4 and 5)				ollowing Report enstr. 3 and 4)	ted Transac	tion(s)	Ownership Form: Direct (D)	of Indirect Beneficial Ownership		
					, IVIOITE	in Day Tear					(A) or					or Indirect	(Instr. 4)	
Common	Stock		05/13/20	)19				Code V Amount (D) Price  M(1) 5.000 A \$			\$ 5	5.000			(Instr. 4)			
Common	Stock		05/13/20	)19			ΕŢ	<u>1)</u>	1	,442		\$ 3,558			D			
												1.00						
Reminder: R	Report on a se	eparate line for eac	h class of securitie	s benefic	ially o	owned direc	ctly or inc				L.					050	1171 (0.00)	
															tained in this a currently va		1474 (9-02)	
								0	МВ со	ntrol n	umber.							
			Та			itive Secur							wned					
1. Title of	2.	3. Transaction	3A. Deemed	( <i>e</i>	<i>.g.</i> , p	outs, calls, 5. Number							nd Amount of	9 Price of	9. Number of	10.	11. Nature	
Derivative	Conversion		Execution Date, if										erlying Securities Derivative				of Indirect	
Security	or Exercise	(Month/Day/Year)		Code		Securities		(Mon	th/Day/	Year)		(Instr. 3	(Instr. 3 and 4)		Securities	Form of	Beneficial	
(Instr. 3)	Price of Derivative		(Month/Day/Year)	(Instr. 8)	)	Acquired ( Disposed of	,							(Instr. 5)	Beneficially Owned	Derivative Security:	Ownership (Instr. 4)	
	Security					(Instr. 3, 4									Following	Direct (D)	,	
				Code	٧	(A)	(D)	Date Exerc	cisable	Exp Date	iration e	Title	Amount or Reported Number of Shares Reported Transactio (Instr. 4)		Transaction(s)	or Indirect (I) (Instr. 4)		
Stock Option (2)	\$ 0.45	05/13/2019		M <sup>(1)</sup>			5,000	07/1	5/201	0 07/	15/2019	Comm	5.000	<u>(3)</u>	20,000	D		
Stock Option (2)	\$ 1.45	05/20/2019		Α		15,000		05/2	20/202	05/	20/2029	Comm	1 13.000	<u>(3)</u>	15,000	D		
Stock Option (2)	\$ 1.45	05/20/2019		Α		15,000		05/2	20/202	1 05/	20/2029	Comm	1 15.000	<u>(3)</u>	15,000	D		
Stock Option (2)	\$ 1.45	05/20/2019		А		15,000		05/2	20/202	2 05/	20/2029	Comm	1 15.000	<u>(3)</u>	15,000	D		
Stock Option (2)	\$ 1.45	05/20/2019		Α		15,000		05/2	20/202	3 05/	20/2029	Comm	1 15.000	<u>(3)</u>	15,000	D		
Report	ing Ow	ners																

Barrantin in Original Name / Addisora	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Stratton Christopher Allen 1331 GEMINI STREET SUITE 250 HOUSTON, TX 77058	Х						

## **Signatures**

/s/ Christopher Allen Stratton	05/24/2019
Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents a "net exercise" of outstanding stock options. The reporting person received 3,558 shares of common stock upon the net exercise of options to purchase 5,000 shares of common stock. (1) The Company withheld 1,442 shares of common stock underlying the portion of the option exercised for payment of the exercise price, using the fair market value on May 13, 2019, of \$1.56, pursuant to the terms of the Registrant's 2009 Stock Incentive Plan. Exempt from Section 16 under Rule 16b-3. Small acquisition under Rule 16a-6.

3) Granted in consideration for services rendered and to be rendered as a member of the board of directors.
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.  Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APP	ROVAL
MB Number:	3235-

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OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

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(Print or Type	Responses	)															
		Reporting Person-				seer riams and rioner or reading symbol							5. Relationship of Reporting Person(s) to Issuer				
Stratton C				_	Vertex Energy Inc. [VTNR]								(Check all applicable)				
1331 GEN	*	ET, SUITE 250	(Middle)		Date of Earliest Transaction (Month/Day/Year)     05/20/2019						_	Officer (give tit	le below)	Other	(specify below)		
		(Street)		4. If /	Ameı	ndment, Da	te Origin	al File	d(Month/D	ay/Year)	)	6	. Individual or J	loint/Group I	Filing(Check Applic	able Line)	
LIGUICEO	N. TV 770													e Reporting Per re than One Rep			
HOUS TO	N, TX 770:	(State)	(Zip)				Tobl	o I N	on Dori	votivo	Coouritie	oo Aoguir	ad Dianagad	of or Ponci	ficially Owned		
		, ,	2. Transac	tion 2	A D	eemed	3. Tran				rities Acqu		•		eficially Owned	e	7. Nature
1.Title of Security 2. Transactic (Instr. 3) Date					eemeu ıtion Date, i		isaciio			isposed o		ollowing Report		•	o. Ownership		
			(Month/Day	, ,	•		(Instr. 8	3) (Instr. 3, 4 and 5)				(lı	(Instr. 3 and 4)				Beneficial
				1)	Mont	h/Day/Year	)									Direct (D) or Indirect	Ownership (Instr. 4)
											(A) or					(I)	,
							Co	de	V A	nount	(D)	Price				(Instr. 4)	
Common	Stock		05/13/20	19	M <sup>(1)</sup> 5,000 A \$ 0.45			\$ 0.45	,000			D					
Common	Stock		05/13/20	19			ΕŢ	<u>1)</u>	1	442	D	\$ 1.56	3,558			D	
				•													
Reminder: R	eport on a se	eparate line for eac	h class of securities	s benefici	ally o	owned direc	tly or inc					- 41 11			4-1	050	1474 (9-02)
											•		ection of infor unless the fo		a currently va		1474 (9-02
								o	MB cor	trol n	umber.	•			•		
			Tai	ble II - De	eriva	tive Securi	ities Acc	uired	l. Dispo	sed of	f. or Bene	eficially O	wned				
						uts, calls,		•				-					
1. Title of	2.	3. Transaction	3A. Deemed	4. 		5. Number	of		te Exer		e and		nd Amount of		9. Number of	10.	11. Nature
Derivative Security	Conversion or Exercise	Date (Month/Day/Year)	Execution Date, if any	Code	ion	Derivative Securities		·					erlying Securities Derivative Derivative Security Securities			Form of	of Indirect Beneficial
(Instr. 3)	Price of	,	(Month/Day/Year)			Acquired (				,			,	(Instr. 5)	Beneficially	Derivative	Ownership
	Derivative Security					Disposed of (Instr. 3, 4,									Owned Following	Security: Direct (D)	(Instr. 4)
	Coounty				1	(11101111101111101111111111111111111111	and o)			T			Amount or	1	Reported	or Indirect	
								Date	cisable	Exp	iration	Title	Number of		Transaction(s)	(I)	
_				Code	٧	(A)	(D)		0.000.0	Juli			Shares (Instr. 4)		(Instr. 4)	(Instr. 4)	
Stock Option (2)	\$ 0.45	05/13/2019		M <sup>(1)</sup>			5,000	07/1	5/201	07/	15/2019	Comm Stock	5.000	<u>(3)</u>	20,000	D	
Stock Option (2)	\$ 1.45	05/20/2019		Α		15,000		05/2	20/202	05/	20/2029	Comm	1.15.000	<u>(3)</u>	15,000	D	
					+												
Stock Option (2)	\$ 1.45	05/20/2019		Α		15,000		05/2	20/202	1 05/	20/2029	Comm Stocl	1.15.000	<u>(3)</u>	15,000	D	
Stock Option (2)	\$ 1.45	05/20/2019		Α		15,000		05/2	20/202	2 05/	20/2029	Comm Stock	1 15.000	<u>(3)</u>	15,000	D	
Stock Option (2)	\$ 1.45	05/20/2019		Α		15,000		05/2	20/202	3 05/	20/2029	Comm	1 15.000	<u>(3)</u>	15,000	D	
Report	ing Ow	ners															

Barrantin in Original Name / Addisora	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Stratton Christopher Allen 1331 GEMINI STREET SUITE 250 HOUSTON, TX 77058	Х						

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/s/ Christopher Allen Stratton	05/24/2019
-Signature of Reporting Person	Date

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