

SECURITIES & EXCHANGE COMMISSION EDGAR FILING

ACACIA RESEARCH CORP

Form: 3

Date Filed: 2018-07-03

Corporate Issuer CIK: 934549

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FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL				
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Print or Type Responses)										
I. Name and Address of Reporting Person 2. Date of Event Rec (Month/Day/Year)			equiring Statement	3. Issuer Name and Ticker or Trading Symbol ACACIA RESEARCH CORP [ACTG]		,				
(Last) (I 520 NEWPORT CENT FLOOR	First) ER DRIVE,	(Middle) 12TH	·			of Reporting Person(s) to Issuer Check all applicable)				
NEWPORT BEACH, C	Street) SA 92660						, , , , , , , , , , , , , , , , , , ,	ine) X_ Form file	all or Joint/Group Filing(Check d by One Reporting Person d by More than One Reporting Person	
(City) (S	State)	(Zip)		Tabl	e I - Non-Deriva	tive Securities	Beneficia	ally Ow	ned	
I.Title of Security Instr. 4)				2. Amount of Securi Owned (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		f Indirect	Beneficial Ownership	
Common Stock				201,664	I By Sidus Investment Mar		ment Management, LL0	C (1)		
Common Stock				177,957		I	By Sidus Invenstment Partners, L.P. (2)			<u>)</u>
Common Stock			487,235		I	By Sidus Douple Alpha Fund, L.P. (3)				
Common Stock			223,144	l By Sidus Double Alpha,			e Alpha, Ltd. (4)			
Reminder: Report on a sepa	Persons who a currently v	respond to the respond to the responding to the	ne collection of information in the collection of information in the collection of t	mation contained i	n this form are not					1473 (7-02)
Title of Derivative Security			tive Securities Bene 2. Date Exercisable	3. Title and Amour	· · ·	nts, options, conve			Nature of Indirect Benefic	nio!
Instr. 4)	(and Expiration Date (Month/Day/Year)	Underlying Deriva (Instr. 4)		Exercise Price of Derivative Security	of Derivation Security: D	ve Direct (D)	Ownership	idi
			Date Expiration Exercisable Date	Title Amount or N	lumber of Shares		or Indirect (Instr. 5)	(I)		
Reporting Own	ers									
Reporting Owner Name	/ Address	Relat	ionships							

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Tobia Alfred Victor Jr. 520 NEWPORT CENTER DRIVE 12TH FLOOR NEWPORT BEACH, CA 92660	Х					

Signatures

Edward J. Treska as Attorney-in-Fact	07/03/2018
-Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares of common stock held in an account for which Sidus Investment Management, LLC ("Sidus Management") serves as a sub-advisor (the "Managed Account"). The Reporting Person, solely by (1) virture of his position as a managing member of Sidus Management, the sub-advisor to the Managed Account, may be deemed to beneficially own the shares of common stock directly held in the Managed Account for purposes of Section 16 of the Securities Exchange Act of 1934, as amended ("Section 16"). The Reporting Person expressly disclaims benenfical ownership of such shares of common stock except to the extent of his pecuniary interest therein.
- Shares of common stock owned directly by Sidus Investment Partners, L.P. ("Sidus Partners"). The Reporting Person, solely by virture of his position as a managing member of Sidus Management, (2) the investment manager of Sidus Partners, may be deemed to beneficially own the shares of common stock directly held by Sidus Partners for purposes of Section 16. The Reporting Person expressly disclaims beneficial ownership of such shares of common stock except to the extent of his pecuniary interest therein.
- Shares of common stock owned directly by Sidus Double Alpha Fund, L.P. ("Sidus Double Alpha"). The Reporting Person, solely by virture of his position as a managing member of Sidus (3) Management, the investment manager of Sidus Double Alpha, may be deemed to beneficially own the shares of common stock directly held by Sidus Double Alpha for purposes of Section 16. The Reporting Person expressly disclaims benenfical ownership of such shares of common stock except to the extent of his pecuniary interest therein.
- Shares of common stock owned directly by Sidus Double Alpha, Ltd. ("Sidus Double Alph Offshore"). The Reporting Person, solely by virture of his position as a managing member of Sidus (4) Management, the investment manager of Sidus Double Alpha Offshore, may be deemed to beneficially own the shares of common stock directly held by Sidus Double Alpha Offshore for purposes of Section 16. The Reporting Person expressly disclaims benenfical ownership of such shares of common stock except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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(Print or Type Responses)

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Washington, D.C. 20549	OMB Number: 32	235-
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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

 Name and Address of Reporting Person - Tobia Alfred Victor Jr. 	2. Date of Event Requiring Statement Month/Day/Year) 3. Issuer Name and Ticker or Trading Symbol ACACIA RESEARCH CORP [ACTG]						
(Last) (First) (Middle) 520 NEWPORT CENTER DRIVE, 12TH FLOOR	00/19/2018		Relationship of Reporting Person(s) to Issuer (Check all applicable)			ndment, Date Original h/Day/Year)	
(Street) NEWPORT BEACH, CA 92660					6. Individ	ual or Joint/Group Filing(Check Applicable ed by One Reporting Person ed by More than One Reporting Person	
(City) (State) (Zip)		Tabl	e I - Non-Deriva	tive Securities	Beneficially Ov	vned	
1.Title of Security (Instr. 4)	C	2. Amount of Securi Dwned Instr. 4)	Í	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		t Beneficial Ownership	
Common Stock	2	201,664		I	By Sidus Investment Management, LLC (1)		
Common Stock	177,957		I	By Sidus Invenstment Partners, L.P. (2)			
Common Stock	487,235		I	By Sidus Douple Alpha Fund, L.P. (3)			
Common Stock	2	223,144		I	By Sidus Doubl	e Alpha, Ltd. (4)	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-0)							
Persons who respond to the a currently valid OMB cont	nation contained i	n this form are not	required to respon	d unless the form o	displays		
Table II - Deriva	tive Securities Benef	icially Owned (e.g	., puts, calls, warra	nts, options, conve	rtible securities)		
(Instr. 4)	and Expiration Date Month/Day/Year)	Title and Amour Underlying Derivat (Instr. 4) Title Amount or N	tive Security	Conversion or Exercise Price of Derivative Security	of Derivative	Nature of Indirect Beneficial Ownership (Instr. 5)	

Reporting Owners

Reporting Owner Name / Address	Relationships					
neporting Owner Name / Address	Director	10% Owner	Officer	Other		
Tobia Alfred Victor Jr. 520 NEWPORT CENTER DRIVE 12TH FLOOR NEWPORT BEACH, CA 92660	х					

Signatures

Edward J. Treska as Attorney-in-Fact	07/03/2018
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